# Historic Landmark Commission Agenda

This meeting will be live streamed via GoToWebinar. If you would like to watch or participate and ask a question during the meeting, on a smart device



using the GoToWebinar App (looks like this 🔀 ) and use Webinar ID 471-710-163 to join the meeting, or log onto

the web at https://attendee.gotowebinar.com/register/106424336968288528

# AGENDA – June 17, 2020 at 6:00 P.M.

Historic Landmark Commission meetings are available to all persons regardless of disability. If you require special assistance, please contact the Commission Secretary at (512) 332-8840, or write to 1311 Chestnut Street, Bastrop, TX 78602, or call Relay Texas through a T.D.D. (Telecommunication Device for the Deaf) at 1-800-735-2989 at least 48 hours in advance of the meeting.

# 1. CALL TO ORDER

## 2. CITIZEN COMMENTS

PLEASE NOTE: ANYONE WISHING TO ADDRESS THE BOARD MAY PROVIDE COMMENTS WITH FULL NAME, ADDRESS, PHONE NUMBER TO plan@cityofbastrop.org BEFORE 4:30 P.M. ON JUNE 17, 2020. **SUBMITTED** COMMENTS WILL BE READ ALOUD AT THE MEETING. COMMENTS FROM EACH INDIVIDUAL WILL BE LIMITED TO THREE (3) MINUTES WHEN READ ALOUD. **OTHERWISE** THE PUBLIC CAN LOG INTO https://www.gotomeeting.com/webinar/join-webinar ON THE WEB OR ON A SMART

DEVICE USING THE GOTOWEBINAR APP (LOOKS LIKE THIS 🐸 ) AND USE WEBINAR ID 471-710-163 TO JOIN THE MEETING.

In accordance with the Texas Open Meetings Act, if a citizen discusses any item not on the agenda, the Commission cannot discuss issues raised or make any decision at this time. Issues may be referred to City Staff for research and possible future action.

To address the Commission concerning any item on the agenda, please submit a fully completed request card to the Board Secretary prior to the meeting.

## 3. ITEMS FOR INDIVIDUAL CONSIDERATION

- 3A. Consider action to approve meeting minutes from the May 20, 2020 Historic Landmark Commission Regular Meeting.
- 3B. Consider action to approve a Certificate of Appropriateness for the building on 0.37 acres of Building Block 10 East of Main Street, located at 1106 Pecan Street to replace the lap

siding and exterior entrance door on the outbuilding of the A. A. Erhard House, designated as a Local Historic Landmark and on the National Register of Historic Places.

- 3C. Consider action on a Certificate of Appropriateness for the building on 0.116 acres of Building Block 10 West of Water Street, located at 1106 Church Street to replace the cedar shake shingles with a metal galvanized roof, removal of an existing chimney, and construction of steps on a structure designated with a Texas State Historical Marker.
- 3D. Consider action on a Certificate of Appropriateness for the building on 0.6 acres of Building Block 5 West of Water Street, located at 1015 Main Street 1015 Main Street to change the front façade windows on both sides of the doors, within the Bastrop Commercial National Register Historic District.
- 3E. Consider action on a Certificate of Appropriateness for a new building on SAB Bastrop Subdivision (previously 2.84 acres of Building Block 62 east of Water Street), located on the northeast corner of Martin Luther King Jr Street and Jasper Street, within the Iredell Historic District.
- 3F. Discussion about the Bastrop Building Block (B<sup>3</sup>) Code, Section 9.5.001 State of Demolition by Neglect.
- 3G. Discussion on appropriate gutter and downspout styles for Main Street façades.

### 4. UPDATES

- 4A. Upcoming walking tour of Main Street Rehabilitation Project to discuss street furniture, including benches.
- 4B. Individual Requests from Historic Landmark Commissioners that particular items to be listed on future agendas (no group discussion allowed).

### 5. ADJOURNMENT

I, the undersigned authority, do hereby certify that this Notice of Meeting is posted in accordance with the regulations of the Texas Open Meetings Act on the bulletin board located at the entrance to the City of Bastrop City Hall, a place of convenience that is readily accessible to the public, as well as to the City's website, www.cityofbastrop.org. Said Notice was posted on the following date and time: June 12, 2020 at

Jennifer C. Bills, Assistant Planning Director

# CITY OF BASTROP HISTORIC LANDMARK COMMISSION

# INSTRUCTIONS FOR PARTICIPATION IN ONLINE MEETING



# June 17, 2020 AT 6:00 P.M.

Due to the National, State, County, and City Declarations of Disaster related to the COVID-19 Virus and for the safety of the public, the City of Bastrop Historic Landmark Commission meeting to be held on June 17, 2020 at 6:00 p.m. will be held online. The meeting will be accessed via GotoWebinar. If you would like to participate, watch, or ask a question during the meeting, please log into <a href="https://attendee.gotowebinar.com/register/106424336968288528">https://attendee.gotowebinar.com/register/106424336968288528</a> on the web or on a smart device using the GoToWebinar App (looks like this ) and use Webinar ID 471-710-163 to join the meeting.

Anyone wishing to address the Board at this meeting may email all of the following information:

- Date of the Meeting
- Full Name & Address
- Phone Number
- · Wishing to address Citizens' Comment or Agenda Item
- Comments

Email to <u>plan@cityofbastrop.org</u> before 4:30 p.m. on June 17, 2020. Submitted comments will be read aloud at the meeting. Comments from each individual will be limited to three (3) minutes when read aloud.

In the alternative, those wishing to comment on agenda items during the meeting are invited to log into the meeting using the information above and ask a question in the Chat box.

It is not the intention of the City of Bastrop to provide a public forum for the embarrassment or demeaning of any individual or group. Neither is it the intention of the Commission to allow a member of the public to slur the performance, honesty and/or integrity of any person or threaten to harm any person. Accordingly, profane, insulting, or threatening language will not be read aloud at the meeting.



# **STAFF REPORT**

# MEETING DATE: June 17, 2020

# AGENDA ITEM: 3A

# TITLE:

Consider action to approve meeting minutes from the May 20, 2020 Historic Landmark Commission Regular Meeting.

# STAFF REPRESENTATIVE:

Jennifer C. Bills, Assistant Planning Director/Historic Preservation Officer

# ATTACHMENTS:

May 20, 2020 Meeting Minutes



# **Meeting Minutes**

The City of Bastrop Historic Landmark Commission met Wednesday, May 20, 2020 at 6:02 p.m. in the Bastrop City Council Chambers, 1311 Chestnut Street, Bastrop, Texas and via GoToWebinar online.

# 1. CALL TO ORDER

The Chair called the meeting to order at 6:02 pm.

Christine Cartwright	Present
Pablo Serna	Present Virtually
Susan Long	Present Virtually
Blake Kaiser	Present Virtually
Matt Lassen	Present
Janean Whitten	Present
Cheryl Long	Present

# 2. CITIZEN COMMENTS

There were no citizen comments.

# 3. ITEMS FOR INDIVIDUAL CONSIDERATION

- 3A. Consider action to approve meeting minutes from the February 19, 2020 Historic Landmark Commission Regular Meeting.
  - Pablo Serna made a motion to approve. Janean Witten seconded, and the motion passed unanimously.
- 3B. Consider action to approve a Certificate of Appropriateness for the building on 0.07 acres of building Block 3 West of Water Street, located at 813 Main Street to replace the retractable overhead door with two sets of doors that match other existing doors, within the Bastrop Commercial National Register Historic District.
  - Staff presented the item
  - The Applicant, Dan Hays-Clark, was present via phone
  - Discussion on merits of doors, the spacing of garage door vs new doors, history of doors and windows prior to the garage door, door hardware
  - Pablo Serna made a motion to approve assuming matching hardware to the existing courtyard doors. Matt Lassen seconded, and the motion passed unanimously.

# 4. UPDATES

4A. Individual Requests from Historic Landmark Commissioners that particular items to be listed on future agendas (no group discussion allowed).

# **Meeting Minutes**

- Main Street sidewalk expansion project: some awnings have downspouts that outfall onto the sidewalk or may sheet flow off the awning onto the sidewalks. Discuss ways to improve water runoff options and resources that downtown businesses can use prior to submitting for a Certificate of Appropriateness, or potential adoption of downtown standard for awning water runoff. Workshop item requested.
- House on Corner of Main Street and Cedar Street has a National Register Structure in disrepair. How maintenance standards and demolition by neglect affect the different levels of historic designation.

# 5. ADJOURNMENT

• Matt Lassen motioned for adjournment and Janean Whitten seconded. Meeting adjourned by at 6:26 pm.

# HISTORIC LANDMARK Commission Staff Report



# MEETING DATE: June 17, 2020

## AGENDA ITEM: 3B

# TITLE:

Consider action to approve a Certificate of Appropriateness for the building on 0.37 acres of Building Block 10 East of Main Street, located at 1106 Pecan Street to replace the lap siding and exterior entrance door on the outbuilding of the A. A. Erhard House, designated as a Local Historic Landmark and on the National Register of Historic Places.

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# STAFF REPRESENTATIVE:

Jennifer C. Bills, AICP, LEED AP, Assistant Planning Director/Historic Preservation Officer

# **ITEM DETAILS:**

		Sec.
Site Address:	1106 Pecan Street (Attachment 1)	1
Property Owners:	Bryan Whitten	
Applicant Contact:	Dan Hays-Clark	. nell
Existing Zoning:	P-4 Mix	5.
Designations:	Local Historic Landmark/National Register of Historic Places	
D 2015/2019/01/2019/01/2019/01/2019/01/2019/2019		

# BACKGROUND/HISTORY:

The property owner of the A.A. Erhard House is proposing to replace the existing lap siding with a 5 ¼ inch Hardie Artisan lap siding that matches the oldest example of siding found on the building. The existing building has several sizes of lap siding, which has changed as it was repaired in the past. The owner is also proposing to replace the contemporary steel-clad door with a salvaged vintage four panel door (Attachment 2).

This outbuilding was the original kitchen for the A.A. Erhard House, which was moved to LCRA Riverside Conference Center property. In 2018, the applicant applied for and received a Certificate of Appropriateness to move the kitchen back to the original site.

# POLICY EXPLANATION:

When a Certificate of Appropriateness is required, no work can begin before the Historic Preservation Officer or the Commission has first issued a Certificate of Appropriateness. The Certificate of Appropriateness shall be in addition to and not in lieu of any permits required (i.e. building, sign, alcohol, etc.). The Building Official cannot approve any application for a sign or building permit to a structure and/or site that requires, but does not have a Certificate of Appropriateness.

Section 9.3.006 Criteria of Approval of a Certificate of Appropriateness (COA)

(1) In considering an application for a Certificate of Appropriateness, the commission shall be guided by any locally adopted design standards, and where applicable, the following from the Secretary of the Interior's Standards for the Rehabilitation of Historic Buildings. Any adopted design standards and Secretary of the Interior's Standards shall be made to the property owners of historic landmarks.

- (A) Every reasonable effort shall be made to adapt the property in a manner which requires minimal alteration of the building, structure, object, or site and its environment.
- (B) The distinguishing original qualities or character of a building, structure, object, or site and its environment shall not be destroyed. The removal or alteration of any historic material or distinctive architectural features should be avoided when possible.
- (C) All buildings, structures, objects, and sites shall be recognized as products of their own time. Alterations that have no historical basis and which seek to create an earlier or later appearance shall be discouraged.
- (D) Changes that may have taken place in the course of time are evidence of the history and development of a building, structure, object, or site and its environment. These changes may have acquired significance in their own right, and this significance shall be recognized and respected.
- (E) Distinctive stylistic features or examples of skilled craftsmanship which characterize, a building, structure, object, or site shall be kept to the greatest extent practical.
- (F) Deteriorated architectural features shall be repaired rather than replaced, wherever possible. In the event replacement is necessary, the new material should reflect the material being replaced in composition, design, color, texture, and other visual qualities. Repair or replacement of missing architectural features should be based on accurate duplications of features, substantiated by historical, physical, or pictorial evidence rather than on conjectural designs or the availability of different architectural elements from other building or structures.
- (G) The surface cleaning of structures shall be undertaken with the gentlest means possible. Sandblasting and other cleaning methods that will damage the historic building materials shall not be undertaken.
- (H) Every reasonable effort shall be made to protect and preserve archeological resources affected by, or adjacent to, any project.
- (I) Contemporary design for alterations and additions to existing properties shall not be discouraged when such alterations and additions do not destroy significant historical, architectural, or cultural material, and such design is compatible with the size, color, material, and character of the property, neighborhood, or environment.
- (J) Wherever possible, new additions or alterations to buildings, structures, objects, or sites shall be done in such a manner that if such additions or alterations were to be removed in the future, the essential form and integrity of the building, structure, object, or site would be unimpaired.

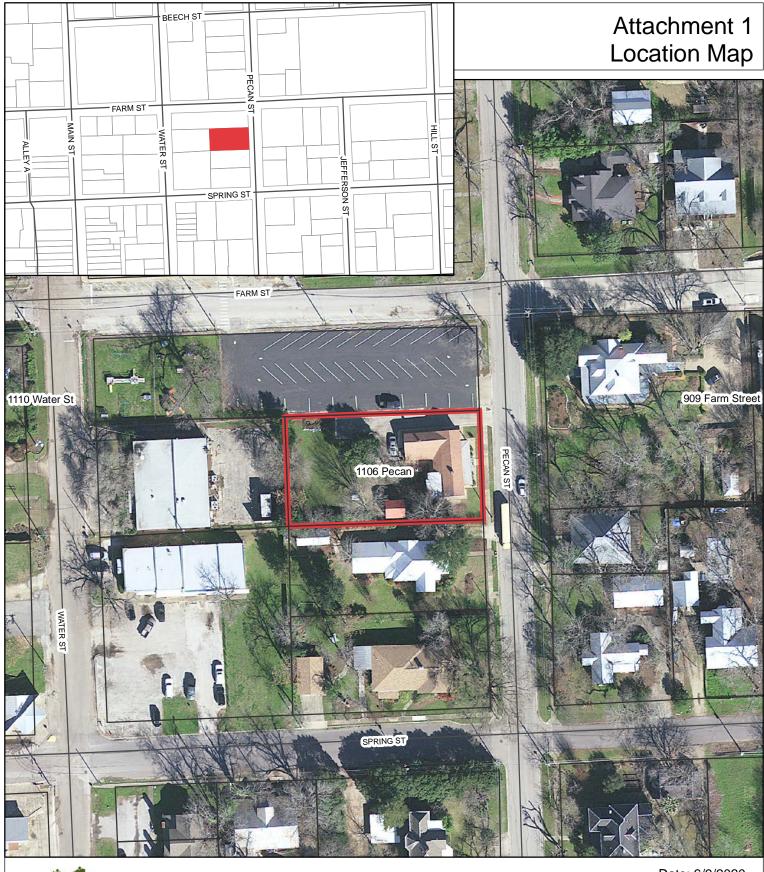
## **RECOMMENDATION:**

Consider action to approve a Certificate of Appropriateness for the building on 0.37 acres of Building Block 10 East of Main Street, located at 1106 Pecan Street to replace the lap siding and exterior entrance door on the outbuilding of the A. A. Erhard House, designated as a Local Historic Landmark and on the National Register of Historic Places.

# ATTACHMENTS:

Attachment 1:Location MapAttachment 2:Letter from ApplicantAttachment 3:Existing Kitchen OutbuildingAttachment 4:Proposed Building ElevationAttachment 5:Proposed Siding MaterialAttachment 6:AA Erhard House





### Date: 6/9/2020

Date: 0/9/2020 The accuracy and precision of this cartographic data is limited and should be used for information /planning purposes only. This data does not replace surveys conducted by registered Texas land surveyors nor does it constitute an "official" verification of zoning, land use classification, or other classification set forth in local, state, or federal regulatory processes. The City of Bastrop, nor any of its employees, do not make any warrany of merchantability and fitness for particular purpose, or assumes any legal liabity or responsibility for the accuracy, completeness or usefulness of any such information, nor does it represent that its use would not infringe upon privately owned rights.

Ν

1106 Pecan Street Certificate of Appropriateness Accessory Building Siding Change 50 100

25

Feet

Heart of the Lost Pines

Est. 1832

1 inch = 83 feet

# paperwhite.

June 1, 2020

City of Bastrop Historic Landmark Commission 1311 Chestnut Street Bastrop, Texas 78602

RE: Certificate of Appropriateness – Historic Kitchen 1106 Pecan Street

Dear Commission:

We are in application to you for the removal and replacement of the existing LAP SIDING and entrance door to the outbuilding, the original stand-alone kitchen for the Adolph A. Erhard House located at 1106 Pecan Street. As you may recall, we came before you in 2018 to request to raise and relocate this structure from its location on the LCRA Wilson Street compound property and reset it back in its original location.

We are now seeking approval to:

- Remove the contemporary steel clad entry door and replace it with a salvaged vintage 4 panel door and hardware that matches the main structure.
- Remove existing lap siding and replace with ARTISAN Lap Siding a JamesHardie product. The ARTISAN Lap Siding is available in 3 widths - 5 ¼" with 4" exposure, 7 ¼" with 6" exposure and 8 ¼" with 7" exposure and are installed as single 12' long boards. Our excitement and interest in this product is its true 5/8" thickness, giving the exact relief and shadow lines of a traditional cedar, pine or cypress lap siding. This product is available in both a smooth and woodgrain finish. We are proposing to use the smooth finish so to not give the "Disneyland" impression, and are proposing the 5 ¼" width to match the oldest siding found currently on parts of the structure. Presently, the siding on the "kitchen" ranges in widths and species due to repairs through the years.

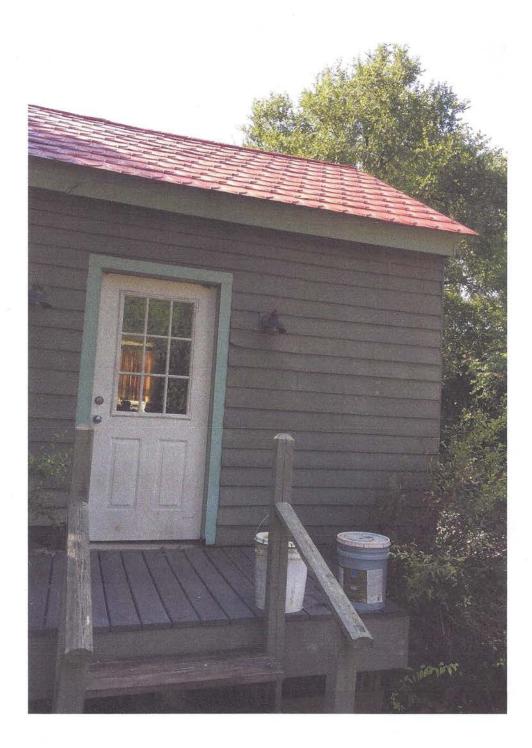
Original windows (2) will remain.

Your role and consideration in this project is appreciated.

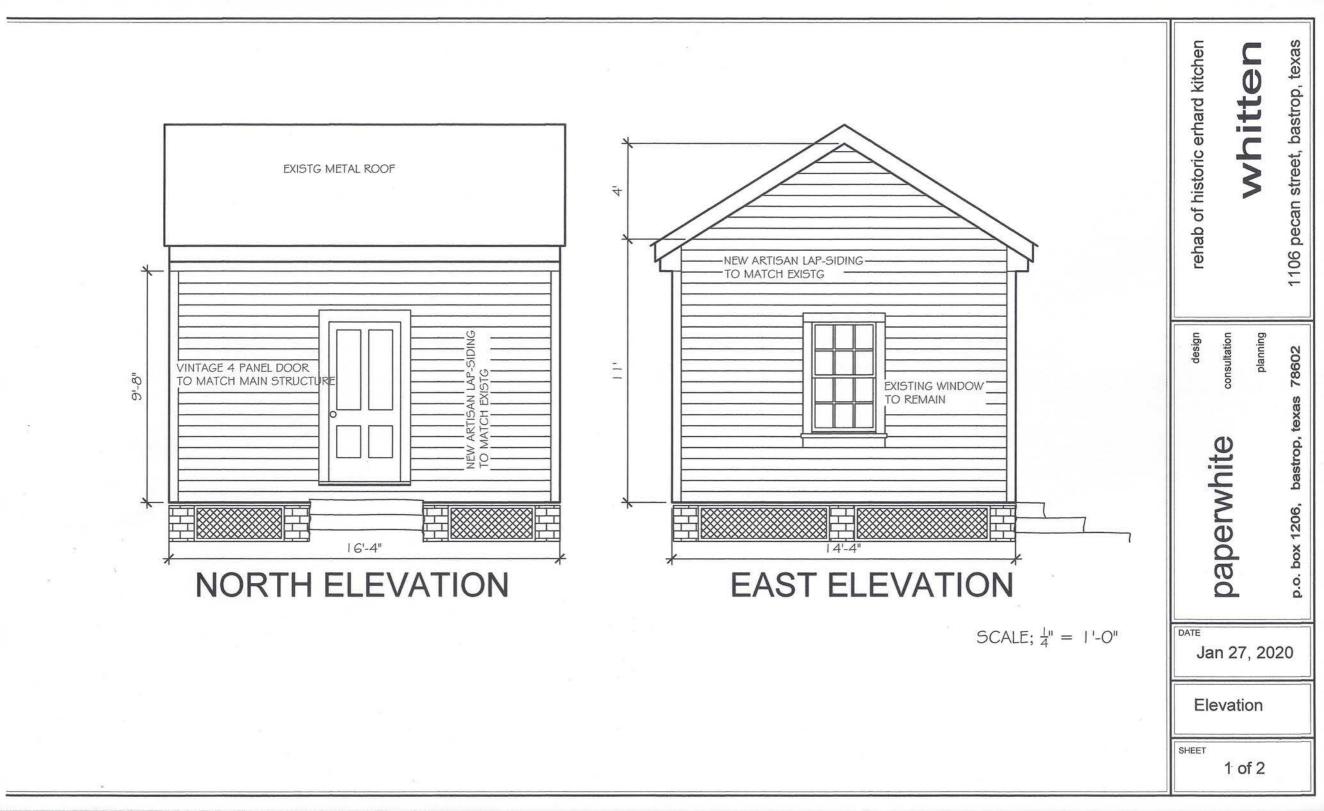
(512) 303-7701 post office box 1206 bastrop, texas 78602

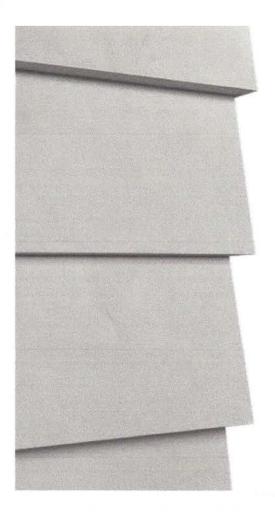
design planning consultation











# ARTISAN® LAP SIDING

- · Casts deep shadow lines
- Luxury look with long-lasting performance

WIDTH 5.25 in (4.0 in Exposure) 7.25 in (6.0 in Exposure) 8.25 in (7.0 in Exposure)

THICKNESS 5/8 in TEXTURE Smooth and Woodgrain

FINISH Primed

# ARTISAN® BEADED LAP SIDING

- Tailored touch replicates traditional coastal style
- Creates a strong horizontal definition

WIDTH 8.25 in (7.0 in Exposure)

THICKNESS 5/8 in TEXTURE Smooth

FINISH Primed



# artisan TECHNICAL DATA SHEET

Siding | Trim.

Artisan<sup>®</sup> Lap Siding

All national, state, and local building code requirements must be followed and where they are more stringent than the Artisan® Lap Siding installation requirements, state and local requirements will take precedence.

#### Wind Table Foot Notes & Special Fastening Details

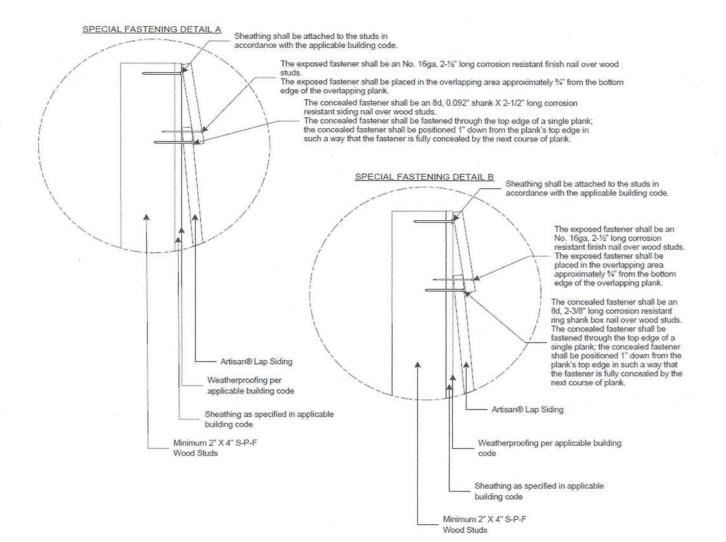
#### Footnotes apply to the tables on previous pages

1. Screws shall penetrate through the metal framing by at least three full threads.

2. building height = mean roof height (in feet) of a building, except that eve height shall be used for roof angle  $\Theta$  less than or equal to 10° (2-12 roof slope).

3.  $V_{ult}$  = ultimate design wind speed.

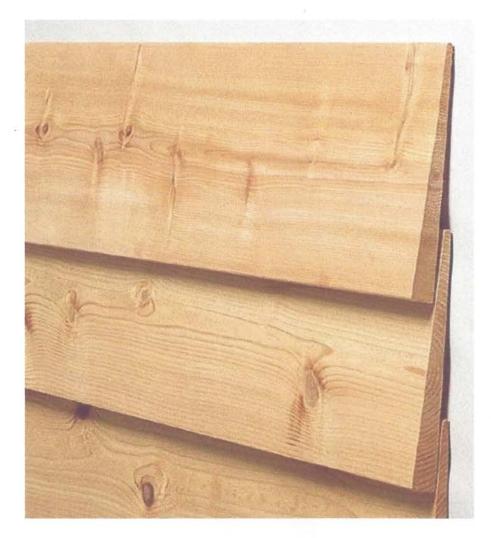
- 4. V<sub>asd</sub> = nominal design wind speed.
- 5. Linear interpolation of building height and wind speed is permitted.
- 6. Wind speed design assumptions per Analytical Method in ASCE 7-10 Chapter 30 C&C Part 1 and Part 3:  $K_{zt}$ =1,  $K_d$ =0.85,  $GC_p$ =-1.4 (h≤60),  $GC_p$ =-1.8 (h>60),  $GC_p$ =0.18.
- 7. 2009 IBC/IRC, 2006 IBC/IRC calculated using Importance Factor, I = 1.
- 8. Values are for species for wood having a specific gravity of 0.40 or greater.
- 9. Pins shall penetrate through the metal framing by 1/4".



MENU

(https://www.windsorplywood.com/)

HOME (HTTPS://WWW.WINDSORPLYWOOD.COM/) / PRODUCTS (HTTPS://WWW.WINDSORPLYWOOD.COM/PRODUCTS) / OUTDOORS (HTTPS://WWW.WINDSORPLYWOOD.COM/PRODUCT-TYPE/OUTDOORS/) / WOOD SIDING (HTTPS://WWW.WINDSORPLYWOOD.COM/PRODUCT-TYPE/OUTDOORS/? SUBCAT=WOOD-SIDING)





# HISTORIC LANDMARK Commission Staff Report



# MEETING DATE: June 17, 2020

## AGENDA ITEM: 3C

# TITLE:

Consider action on a Certificate of Appropriateness for the building on 0.116 acres of Building Block 10 West of Water Street, located at 1106 Church Street to replace the cedar shake shingles with a metal galvanized roof, removal of an existing chimney, and construction of steps on a structure designated with a Texas State Historical Marker.

# STAFF REPRESENTATIVE:

Jennifer C. Bills, AICP, LEED AP, Assistant Planning Director/Historic Preservation Officer

# **ITEM DETAILS:**

Site Address:1106 Church Street (Attachment 1)Property Owners:Bastrop Christian ChurchApplicant Contact:Doug Perry, Property ChairmanExisting Zoning:P-CS, Civic SpaceDesignations:Texas State Historical Marker

# **BACKGROUND/HISTORY:**

The Bastrop Christian Church is requesting a Certificate of Appropriateness to replace the existing cedar shake shingle roof with a standing seam galvalume metal roof. This includes the main building roof and the spire. When changing the roof, they propose to remove the existing brick chimney, as it is no longer connected to an internal fireplace. On the north façade, there is an existing door that leads to a landing. In order to provide additional egress from the building, they propose to construct stairs to the north of the landing.

# POLICY EXPLANATION:

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Section 9.3.006 Criteria of Approval of a Certificate of Appropriateness (COA)

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- (J) Wherever possible, new additions or alterations to buildings, structures, objects, or sites shall be done in such a manner that if such additions or alterations were to be removed in the future, the essential form and integrity of the building, structure, object, or site would be unimpaired.

## **RECOMMENDATION:**

(G)

Consider action on a Certificate of Appropriateness for the building on 0.116 acres of Building Block 10 West of Water Street, located at 1106 Church Street to replace the cedar shake shingles with a metal galvanized roof, removal of an existing chimney and construction of steps, on a structured designate with a Texas State Historical Marker.

# ATTACHMENTS:

Attachment 1: Location Map

Attachment 2: Letter from Applicant

Attachment 3: Existing Building

Attachment 4: Proposed Changes and Material Example



### Bastrop Christian Church – Roof Replacement and Exterior Steps Application For Certificate of Appropriateness (CoA)

The Bastrop Christian Church is seeking the certificate of appropriateness to replace the current roof with a metal (galvalume) roof and removing the existing chimney. The chimney serves no purpose since there is no fireplace or other need. The original materials have deteriorated to the point that the entire structure is unstable resulting in danger to the congregants as well as to the building itself. It also continues to be a source of leaks resulting in interior damage.

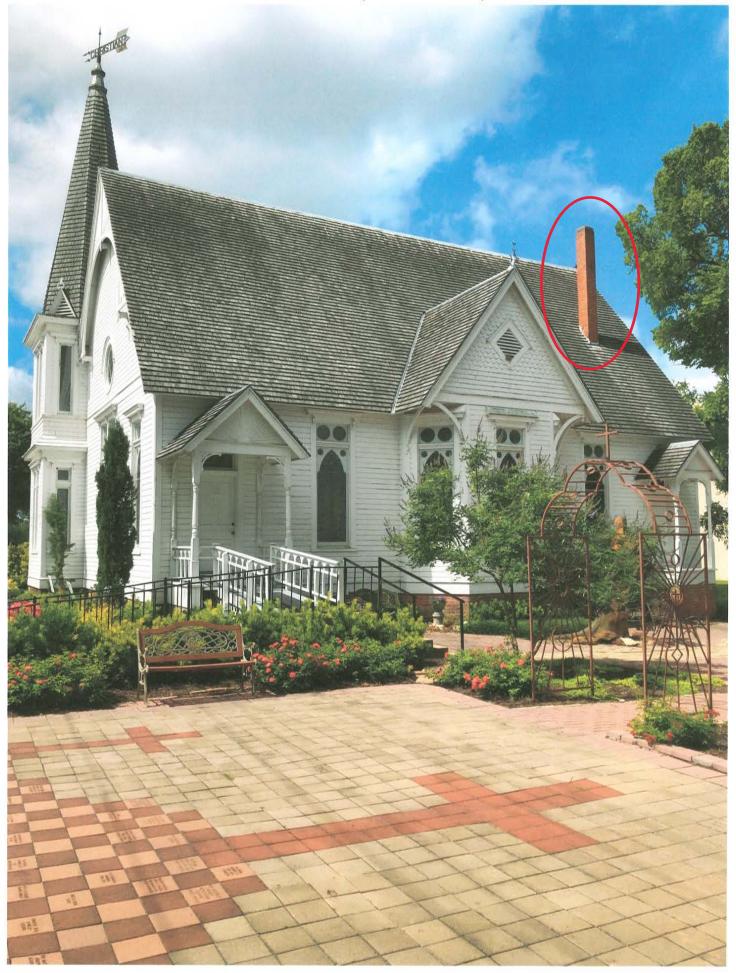
The existing roof is a very old cedar shake that has continual leak issues in addition to being a fire hazard. We believe that the metal roof would be best for longevity as well as blending with the historical character of the community. Real shakes are highly flammable and are outlawed in many communities. The roof would be comparable to the one at Calvary Episcopal Church, which is next door and has similar historical value.

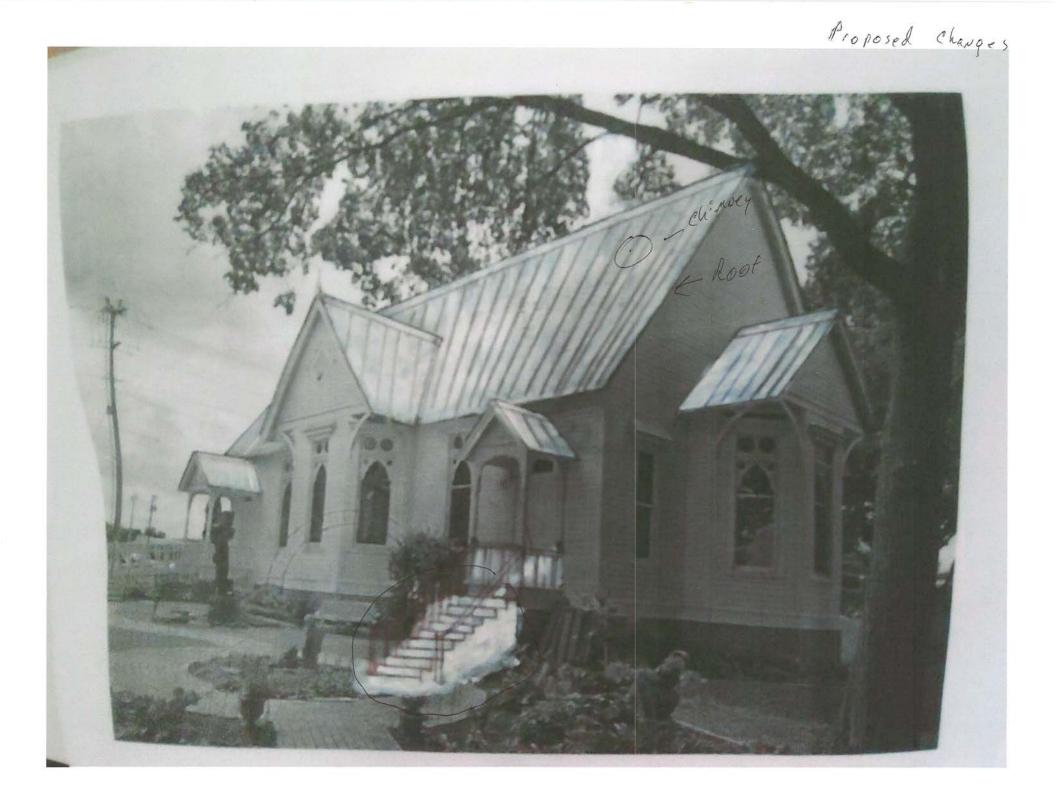
Additionally, steps leading from an existing exterior door on the east side must be installed for safety purposes to comply with fire codes. They will be constructed with ground contact treated 2x lumber and will match the existing woodwork on the porch to which it will be affixed. The building is already a registered historical landmark. It is our desire to preserve it in its usable condition. Please contact me if you need any clarification.

Doug Perry, property chairman, Bastrop Christian Church

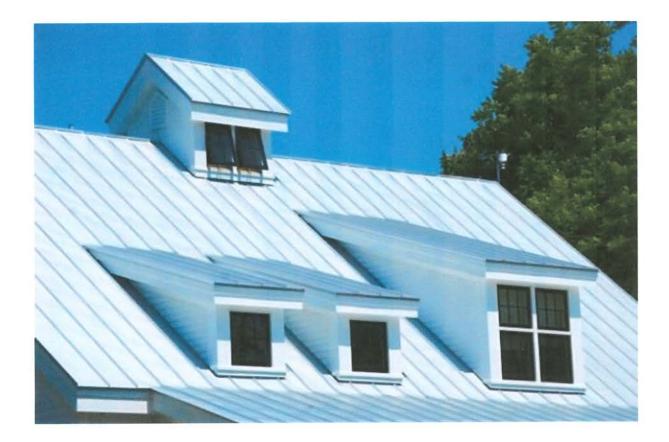
291-923-8194

Existing Building





Galvalume Roofing





# **STAFF REPORT**

# MEETING DATE: June 17, 2020

# AGENDA ITEM: 3D

# TITLE:

Consider action on a Certificate of Appropriateness for the building on 0.6 acres of Building Block 5 West of Water Street, located at 1015 Main Street to change the front façade windows on both sides of the doors, within the Bastrop Commercial National Register Historic District.

### STAFF REPRESENTATIVE:

Jennifer C. Bills, AICP, LEED AP, Assistant Planning Director/Historic Preservation Officer

### **ITEM DETAILS:**

Site Address:	1015 Main Street (Attachment 1)
Property Owner/Applicant:	Desiree Laux
Current Use:	Commercial/Retail
Existing Zoning:	P-5 Core
Designations:	Bastrop Commercial National Register of Historic Places District

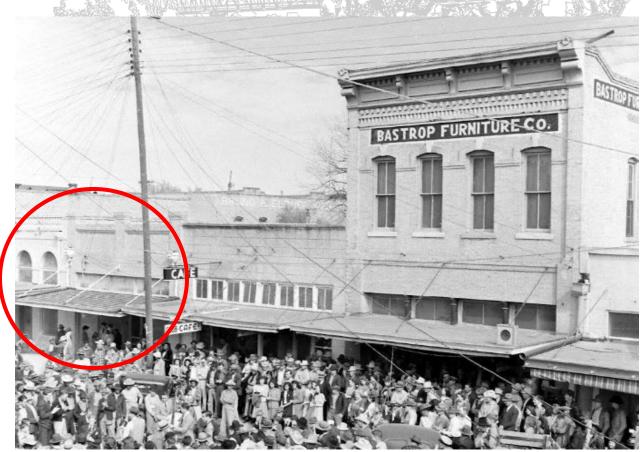
## **BACKGROUND/HISTORY:**

The property owner at 1015 Main Street is opening a new business and is requesting a Certificate of Appropriateness to change the building façade on either side of the two sets of existing double doors (Attachment 2).

Previously the applicant had received a Certificate of Appropriateness to place the sign along the edge of the canopy, so as not cover the architectural detail on the building (as shown below). Currently there are four (covered) transom windows that run the length of the façade above the doors and windows. On both sides are identical, with a transom windows at the top, a window level with the top of the doors and extending to a two-foot tall (approximate) solid panel at the bottom. The doors are slightly recessed into the façade.



The storefront has changed over time. A photo from 1943 seems to show a store front with a front door that is recessed three to five feet with angled display windows.



While the style of the store front has changed transom, windows have been a very prevalent feature of Main Street buildings and approving removal should be strongly considered.

The applicant is proposing to remove the transom and bottom panel and install windows on both sides of the façade. The existing window is being proposed to be reused, but due to the location along the ground and by the door, the window will most likely have to be upgraded to tempered glass. That review and determination will be made by the Building Official during the review of the Building Remodel Permit. Some of this work has already been started, so the applicant may have to rebuild the previous façade if the Commission denies the Certificate of Appropriateness.



# Existing window on right side



# POLICY EXPLANATION:

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- (D) Changes that may have taken place in the course of time are evidence of the history and development of a building, structure, object, or site and its environment. These changes may have acquired significance in their own right, and this significance shall be recognized and respected.
- (E) Distinctive stylistic features or examples of skilled craftsmanship which characterize, a building, structure, object, or site shall be kept to the greatest extent practical.
- (F) Deteriorated architectural features shall be repaired rather than replaced, wherever possible. In the event replacement is necessary, the new material should reflect the material being replaced in composition, design, color, texture, and other visual qualities. Repair or replacement of missing architectural features should be based on accurate duplications of features, substantiated by historical, physical, or pictorial evidence rather than on conjectural designs or the availability of different architectural elements from other building or structures.
- (G) The surface cleaning of structures shall be undertaken with the gentlest means possible. Sandblasting and other cleaning methods that will damage the historic building materials shall not be undertaken.
  - Every reasonable effort shall be made to protect and preserve archeological resources affected by, or adjacent to, any project.
  - Contemporary design for alterations and additions to existing properties shall not be discouraged when such alterations and additions do not destroy significant historical, architectural, or cultural material, and such design is compatible with the size, color, material, and character of the property, neighborhood, or environment.
- (J) Wherever possible, new additions or alterations to buildings, structures, objects, or sites shall be done in such a manner that if such additions or alterations were to be removed in the future, the essential form and integrity of the building, structure, object, or site would be unimpaired.

## **RECOMMENDATION:**

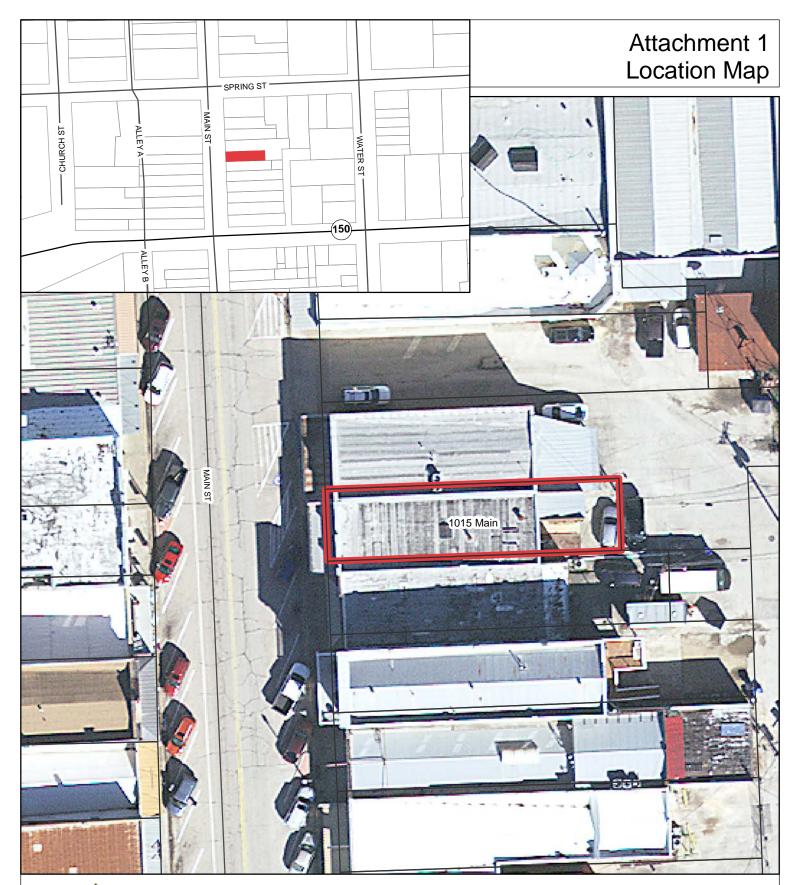
(H)

(1)

Consider action on a Certificate of Appropriateness for the building on 0.6 acres of Building Block 5 West of Water Street, located at 1015 Main Street to change the front façade windows on both sides of the doors, within the Bastrop Commercial National Register Historic District.

## **ATTACHMENTS:**

- Attachment 1 Location Map
- Attachment 2 Project Description and Sign Exhibits



### Date: 6/9/2020



20

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Feet

10

1015 Main Street Certificate of Appropriateness Facade Change 40

1 inch = 33 feet

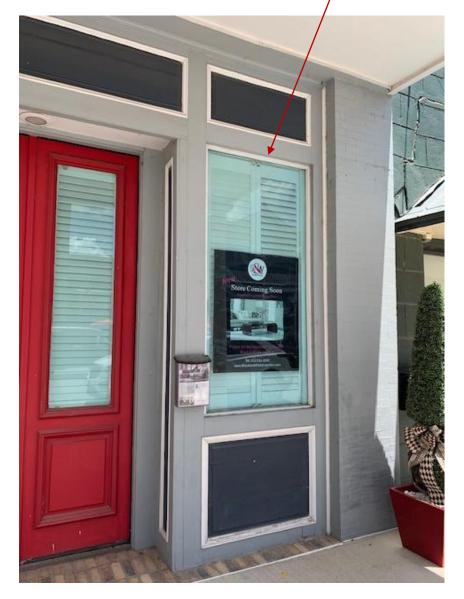
Date: 6/9/2020 The accuracy and precision of this cartographic data is limited and should be used for information /planning purposes only. This data does not replace surveys conducted by registered Texas land surveyors nor does it constitute an "official" verification of zoning, land use classification, or other classification set forth in local, state, or federal regulatory processes. The City of Bastrop, nor any of its employees, do not make any warranty of merchantability and fitness for particular purpose, or assumes any legal liabity or responsibility for the accuracy, completeness or usefulness of any such information, nor does it represent that its use would not infringe upon privately owned rights.

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# 1015 Main St

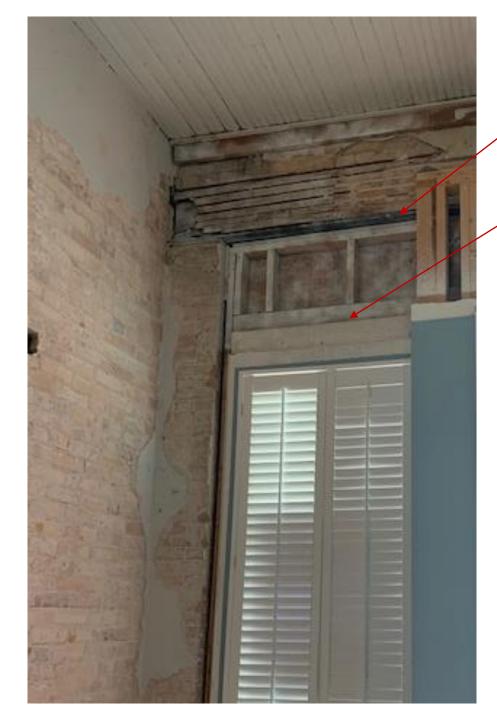
# Present Window Front on Right Side



-l own a boutique home décor business and have moved it from Austin to here. I am in need of a beautiful store front that will allow light to come in (They are the only windows I have) and to allow people to see what I am selling. I am NOT getting rid of the existing window; I am lowering it to the bottom (see right pic) and adding a matching piece of glass above it with a small trim piece in between the two. Unlike the other store fronts shown below, I am NOT using ugly metal framed commercial windows that destroy the historical look. I am also keeping the faux transoms above the doors. I was not aware I had to pull permit to do this or go before your committee. The work on this has stopped and I boarded it up as best as possible.

# Present Window Front on Left Side





# **INSIDE VIEW OF WINDOWS**

-Metal Header is structural-I will NOT be touching that to install new glass

-2x4's and OSB are New and not historical. The front use to have full glass with much larger transoms above the awning-The building was also fully remodeled in 2000-2001

\*Bottom OSB had bad water damage and old termite damage that needed to be repaired



# Pictures of Current Buildings In Bastrop

# They Have Full Length Glass Windows like I Am Wanting For My Building.

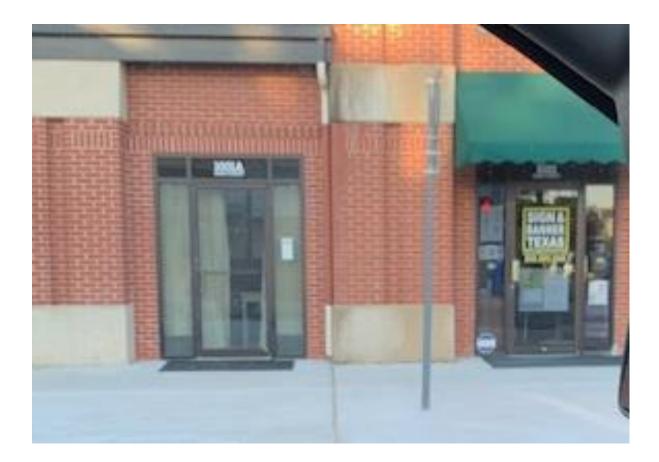


2 retail shops similar to what mine will be. They used commercial metal framed windows, glass goes to the ground





Additional business with commercial metal windows and glass goes to the ground





# Additional business with all window front



# HISTORIC LANDMARK **COMMISSION STAFF REPORT**



#### MEETING DATE: June 17, 2020

#### AGENDA ITEM: 3E

#### TITLE:

Consider action to approve a Certificate of Appropriateness for a new building using alternative building materials not included in the Authentic Bastrop Pattern Book on SAB Bastrop Subdivision (previously 2.84 acres of Building Block 62 east of Water Street), located on the northeast corner of Martin Luther King Jr Street and Jasper Street, within the Iredell Historic District.

#### STAFF REPRESENTATIVE:

Jennifer C. Bills, AICP, LEED AP, Assistant Planning Director/Historic Preservation Officer

#### **ITEM DETAILS:**

ITEM DETAILS:	Asia
Site Address:	Northeast corner of Martin Luther King Jr Street and Jasper Street,
	(Attachment 1)
Property Owners:	SAB Bastrop Properties LLC
Applicant Contact:	Hunter Tipps, Davey McEathron Architecture
Existing Zoning:	P-5 Core
Designations:	Iredell Historic District
CONTRACTOR A CONTRACTOR	

#### BACKGROUND/HISTORY:

With the adoption of the Bastrop Building Block (B<sup>3</sup>) Code in November 2019, the City Council adopted the Iredell Historic District, which covers all of the Farm Lots and Building Blocks of the original 1920 Iredell Plat Map. Along with the local district, Council adopted the Authentic Bastrop Pattern Book that has some mandatory elements within the district. One of these is material standards.

In the 2019 Texas 86th Legislative Session, House Bill 2439 was passed, which included language that restricts municipalities ability to regulate building materials. One of the exceptions to this restriction was for properties located within an adopted historic district. The intent of the code adoption was to allow the city to continue to regulate exterior building materials to the same level that was already in effect prior to HB 2439.

The Authentic Pattern Book includes references for suggested building styles and features, as well as some mandatory requirements such as fence types and place, as well as appropriate building materials (Attachment 4).

For Commercial Buildings, the following are acceptable wall materials:

stone, brick or a combination of the two, stucco, cast stone, rock, marble, granite, tile, and glass block. Also acceptable are Hardi planks and sheets, and textured or patterned pored-in-place concrete with integrated color. EIFS can be used only as accent material. Acceptable roofing materials are: standing seam metal roof, stone and clay roof tile (Commercial Building Standards. Page 28).

Per the policy stated below, the COA can be approved administratively if all materials in the Pattern Book are met.

The applicant is requesting the use of two materials that are not allowed within the Pattern Book.

- Box Rib Metal siding
- Plazit Polygal (plastic sheeting) siding

A majority of the north, east and south (facing Jasper Street) facades of the building will be comprised of the box rib metal siding, using the allowed materials of concrete masonry, EIFS accent panels, and tongue and grove wooden siding as accents around the entrances. The west (facing Martin Luther King Jr Street) façade is comprised of 60 percent glazing and 40% Polygal siding.



The applicant has provided examples of existing metal sided buildings within the Iredell Historic District, including the Art Center which is entirely metal sided and City Hall which uses metal as an accent with board and batten Hardi board (Attachment 2).

The have also included examples of the existing architecture that currently present south of State Highway 71 near their site, showing the contemporary architectural style within the area.

#### POLICY EXPLANATION:

When a Certificate of Appropriateness is required, no work can begin before the Historic Preservation Officer or the Commission has first issued a Certificate of Appropriateness. The Certificate of Appropriateness shall be in addition to and not in lieu of any permits required (i.e. building, sign, alcohol, etc.). The Building Official cannot approve any application for a sign or building permit to a structure and/or site that requires but does not have a Certificate of Appropriateness.

#### Section. 9.3.004 Administrative Approval of a Certificate of Appropriateness

(a) Certificate of Appropriateness may qualify for Administrative Approval by the Historic Preservation Officer if the proposed Project meets all of the following conditions:

- (1) The property is not located in a national Historic District;
- (2) All of the material Standards identified in the Pattern Book are met;
- (3) The proposed Structure or Site is not designated as a local, state, or national Historic Landmark.

#### Section 9.3.006 Criteria of Approval of a Certificate of Appropriateness (COA)

- (1) In considering an application for a Certificate of Appropriateness, the commission shall be guided by any locally adopted design standards, and where applicable, the following from the Secretary of the Interior's Standards for the Rehabilitation of Historic Buildings. Any adopted design standards and Secretary of the Interior's Standards shall be made to the property owners of historic landmarks.
  - (A) Every reasonable effort shall be made to adapt the property in a manner which requires minimal alteration of the building, structure, object, or site and its environment.
  - (B) The distinguishing original qualities or character of a building, structure, object, or site and its environment shall not be destroyed. The removal or alteration of any historic material or distinctive architectural features should be avoided when possible.
  - (C) All buildings, structures, objects, and sites shall be recognized as products of their own time. Alterations that have no historical basis and which seek to create an earlier or later appearance shall be discouraged.
  - (D) Changes that may have taken place in the course of time are evidence of the history and development of a building, structure, object, or site and its environment. These changes may have acquired significance in their own right, and this significance shall be recognized and respected.
  - (E) Distinctive stylistic features or examples of skilled craftsmanship which characterize, a building, structure, object, or site shall be kept to the greatest extent practical.
  - (F) Deteriorated architectural features shall be repaired rather than replaced, wherever possible. In the event replacement is necessary, the new material should reflect the material being replaced in composition, design, color, texture, and other visual qualities. Repair or replacement of missing architectural features should be based on accurate duplications of features, substantiated by historical, physical, or pictorial evidence rather than on conjectural designs or the availability of different architectural elements from other building or structures.
  - (G) The surface cleaning of structures shall be undertaken with the gentlest means possible. Sandblasting and other cleaning methods that will damage the historic building materials shall not be undertaken.

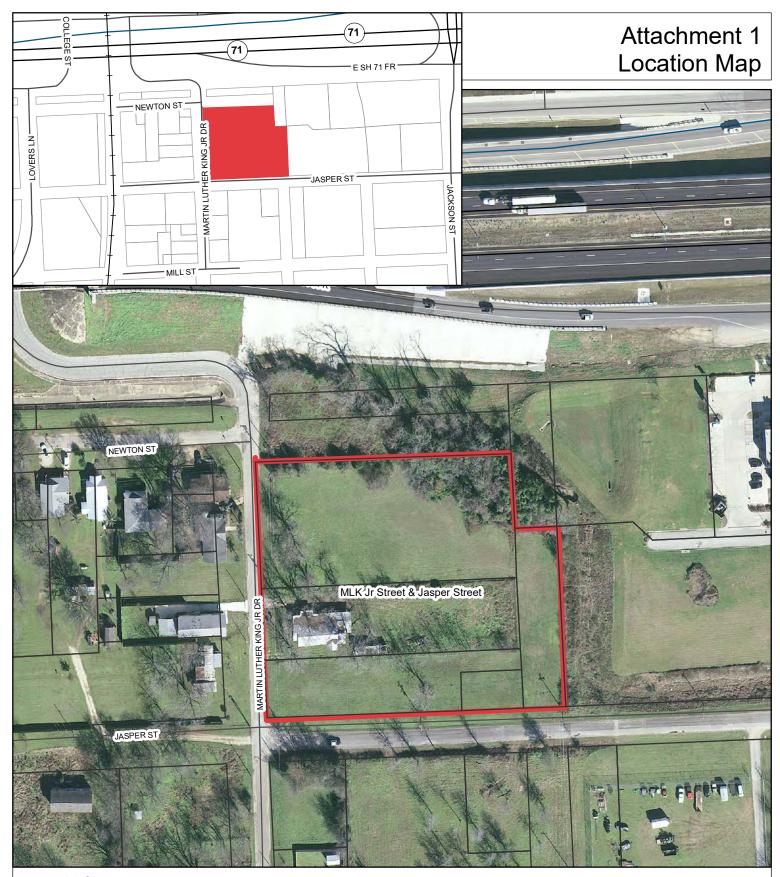
- (H) Every reasonable effort shall be made to protect and preserve archeological resources affected by, or adjacent to, any project.
- (I) Contemporary design for alterations and additions to existing properties shall not be discouraged when such alterations and additions do not destroy significant historical, architectural, or cultural material, and such design is compatible with the size, color, material, and character of the property, neighborhood, or environment.
- (J) Wherever possible, new additions or alterations to buildings, structures, objects, or sites shall be done in such a manner that if such additions or alterations were to be removed in the future, the essential form and integrity of the building, structure, object, or site would be unimpaired.

#### **RECOMMENDATION:**

Consider action to approve a Certificate of Appropriateness for a new building using alternative building materials not included in the Authentic Bastrop Pattern Book on SAB Bastrop Subdivision (previously 2.84 acres of Building Block 62 east of Water Street), located on the northeast corner of Martin Luther King Jr Street and Jasper Street, within the Iredell Historic District.

#### ATTACHMENTS:

Attachment 1: Location Map Attachment 2: Letter from Applicant with Architectural Examples Attachment 3: Proposed Architectural Renderings Attachment 4: Authentic Bastrop Pattern Book – Commercial Building Standards Attachment 5: Pictures of Materials (will be at meeting)





40

Fee

MLK Jr Street & Jasper Street Iredell Certificate of Appropriateness **Alternative Building Materials** 80 160

1 inch = 125 feet

#### Date: 6/9/2020

Date: 0/9/2020 The accuracy and precision of this cartographic data is limited and should be used for information /planning purposes only. This data does not replace surveys conducted by registered Texas land surveyors nor does it constitute an "official" verification of zoning, land use classification, or other classification set forth in local, state, or federal regulatory processes. The City of Bastrop, nor any of its employees, do not make any warrany of merchantability and fitness for particular purpose, or assumes any legal liabity or responsibility for the accuracy, completeness or usefulness of any such information, nor does it represent that its use would not infringe upon privately owned rights.

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### BASTROP FITNESS PROJECT

The Bastrop Fitness Project is the vision for a new 10,000 square foot fitness center located south of Highway 71. The building will house a multitude of fitness and welfare-related businesses and is envisioned as a catalyst that will activate and enrich an area of Bastrop that had been previously under-utilized. These businesses include a new crossfit gym, yoga studios, coffee and smoothie bar, rentable office spaces, recovery spa, and children's play space.

Architecturally, the building draws from the regional architecture of rural Texas as well as significant buildings of Bastrop to appropriately sew it into the fabric of the city. The design concept is of a simple gabled shape in the ethos of simple barn buildings of historical Texas, this form is then intersected with open portico areas with expansive glazing, breaking down the mass of the building and guiding occupants to entries and areas of activity. The orientation of these open areas as well as the buildings location on the site are also a direct response to the desire for the Fitness Project to act as an generator of new business and activity for the area, furthering the City of Bastrop's goal for positive new growth and development. The orientation of the building and entries is such that it maximizes visibility from the highway and responds to the nature of travel in the area.

The new Lost Pines Art Center forms a key precedent for the Fitness Project, it's use of simple traditional forms, metal siding, and careful placement of glazed areas greatly informing the nature of our new building. Additionally, various key buildings in the town helped inform the nature of this new building's architecture. Bastrop City Hall, the Spring Street Dental Building, and traditional farm structures around town constitute sources of inspiration for the new project.



## DAVEY MCEATHRON ARCHITECTURE



Bastrop City Hall





Spring Street Dental Building



Silos at Lost Pines Art Center

The projects location south of Highway 71 places it in a significantly rural part of the city. The following images constitute the local context surrounding this new project and the architecture located in this area of the Iredell Historic District:













With an understanding of the architecture of the area, as well as the aspirations of the City of Bastrop, the new Bastrop Fitness Project positions itself as a key transitional building for the region, providing an important service for the citizens of the city as well as drawing new business and travel to the area. It will invigorate the economy of the area and bolster the community of Bastrop as a exciting new architectural addition to the already rich fabric of Bastrop.

# **DAVEY MCEATHRON ARCHITECTURE**

# BASTROP FITNESS PROJECT 303 MARTIN LUTHER KING DR, BASTROP, TX 78602 HISTORIC REVIEW 01 JUN 2020



CONSTRUCTION LOCATION



©2020 DAVEY MCEATHRON ARCHITECTURE



# PROJECT NO: **DM190901**

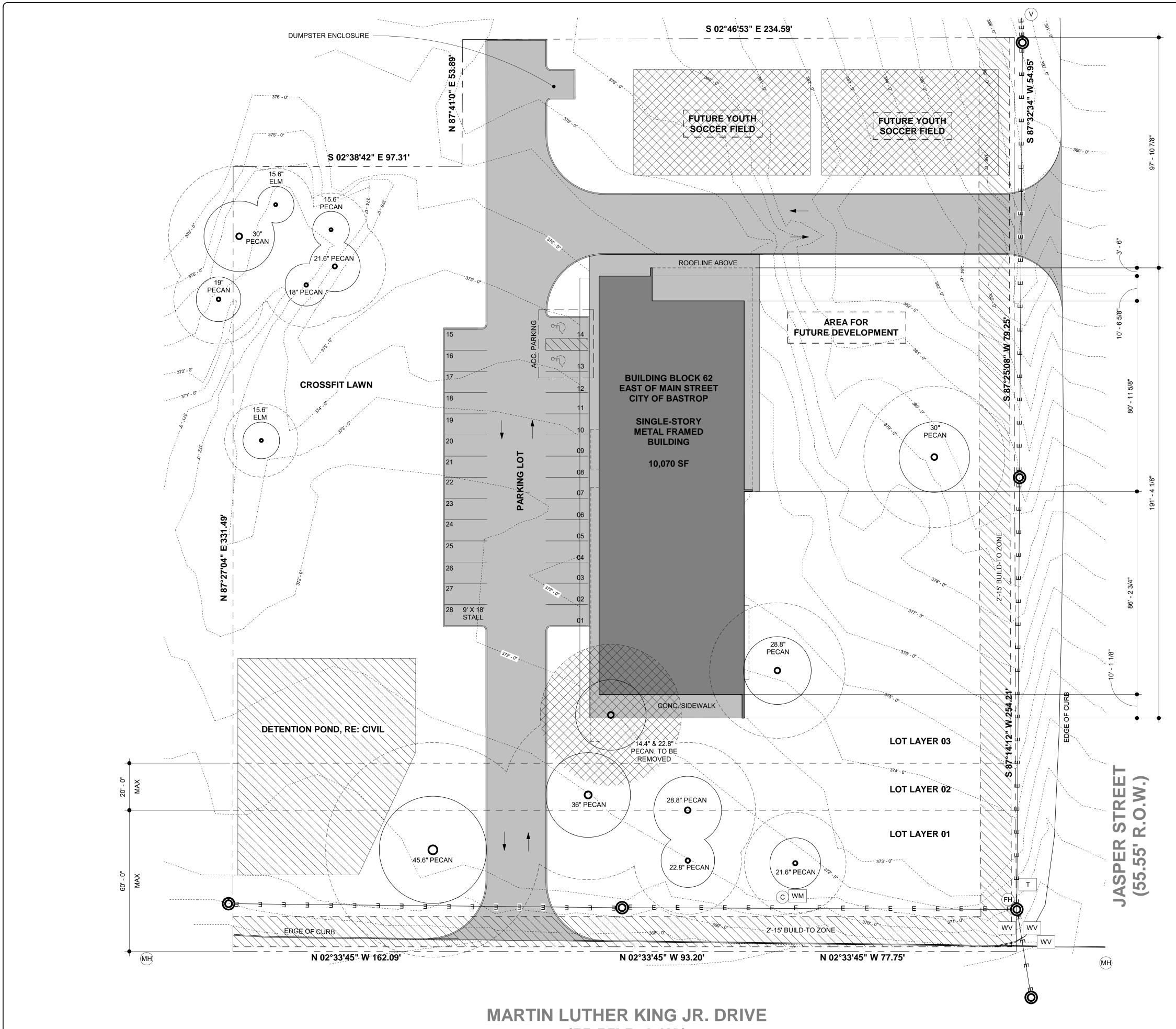
## SHEET INDEX:

A100	SITE PLAN
A110	FLOOR 01 REFERENCE PLAN
A130	ROOF PLAN
A200	EXTERIOR ELEVATIONS
A201	EXTERIOR ELEVATIONS
A800	PERSPECTIVES



REVISIONS			
NO	REFERENCE	ISSUED	

m



(55.55' R.O.W.)

9' X 18' STANDARD SPACES: 9' X 18' ACCESSIBLE SPACES:

26 SPACES 2 SPACES NOT APPLICABLE 28 SPACES

SITE PLAN 3/64" = 1'-0"

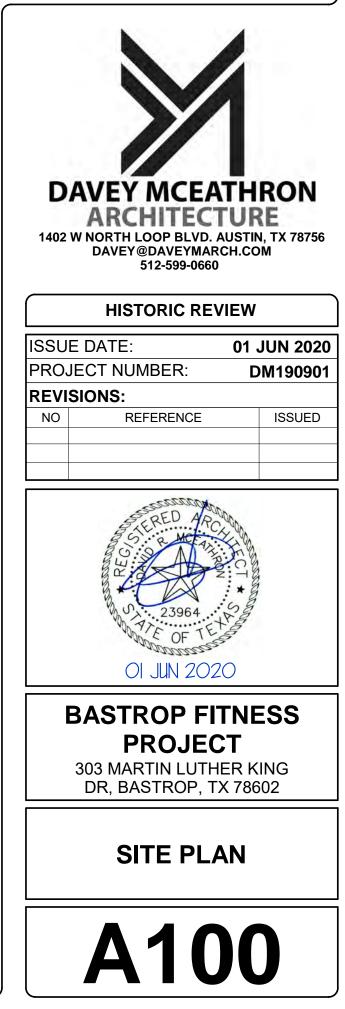
PARKING:

REQUIRED PARKING PER CODE:

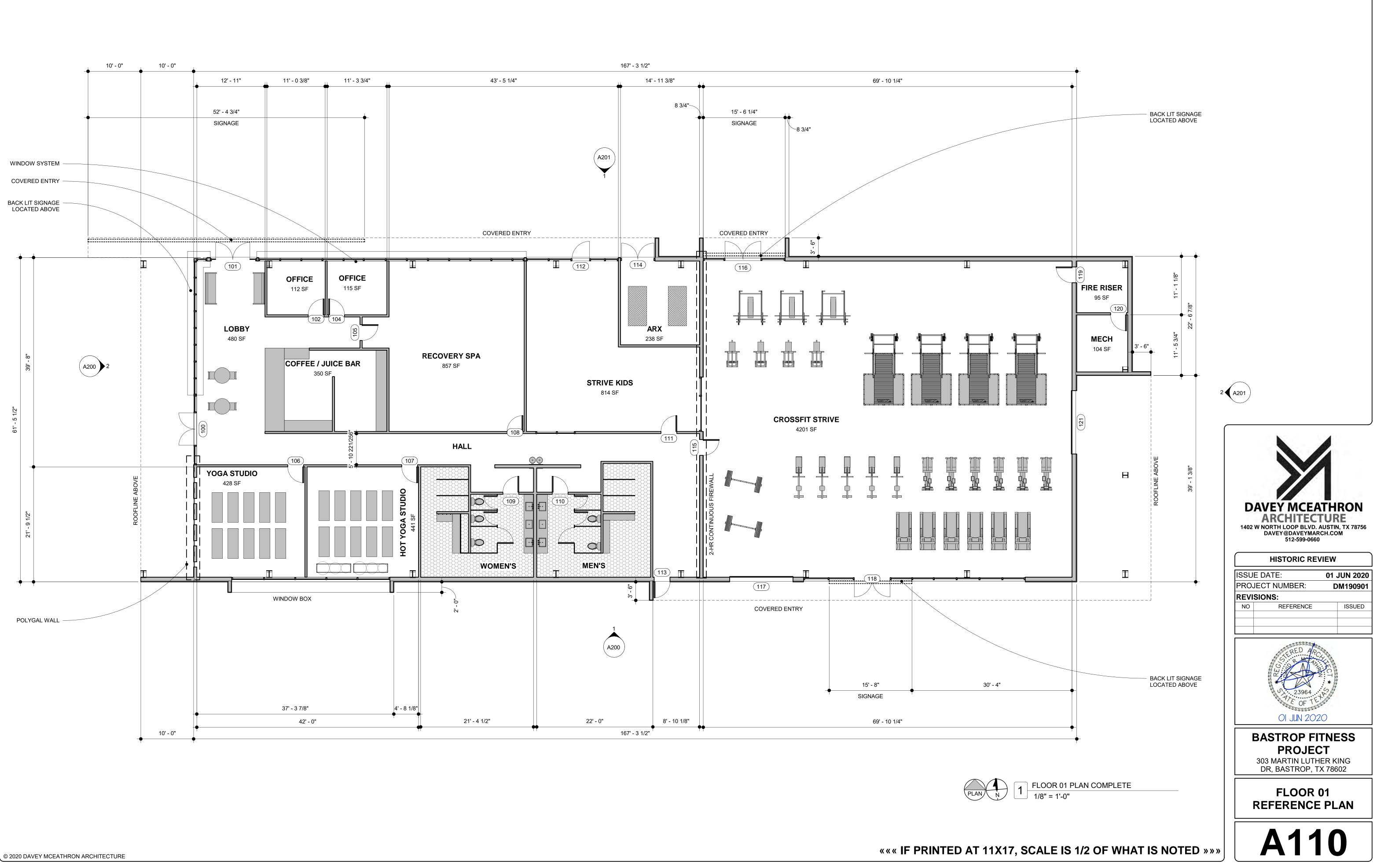
TOTAL SPACES PROVIDED:

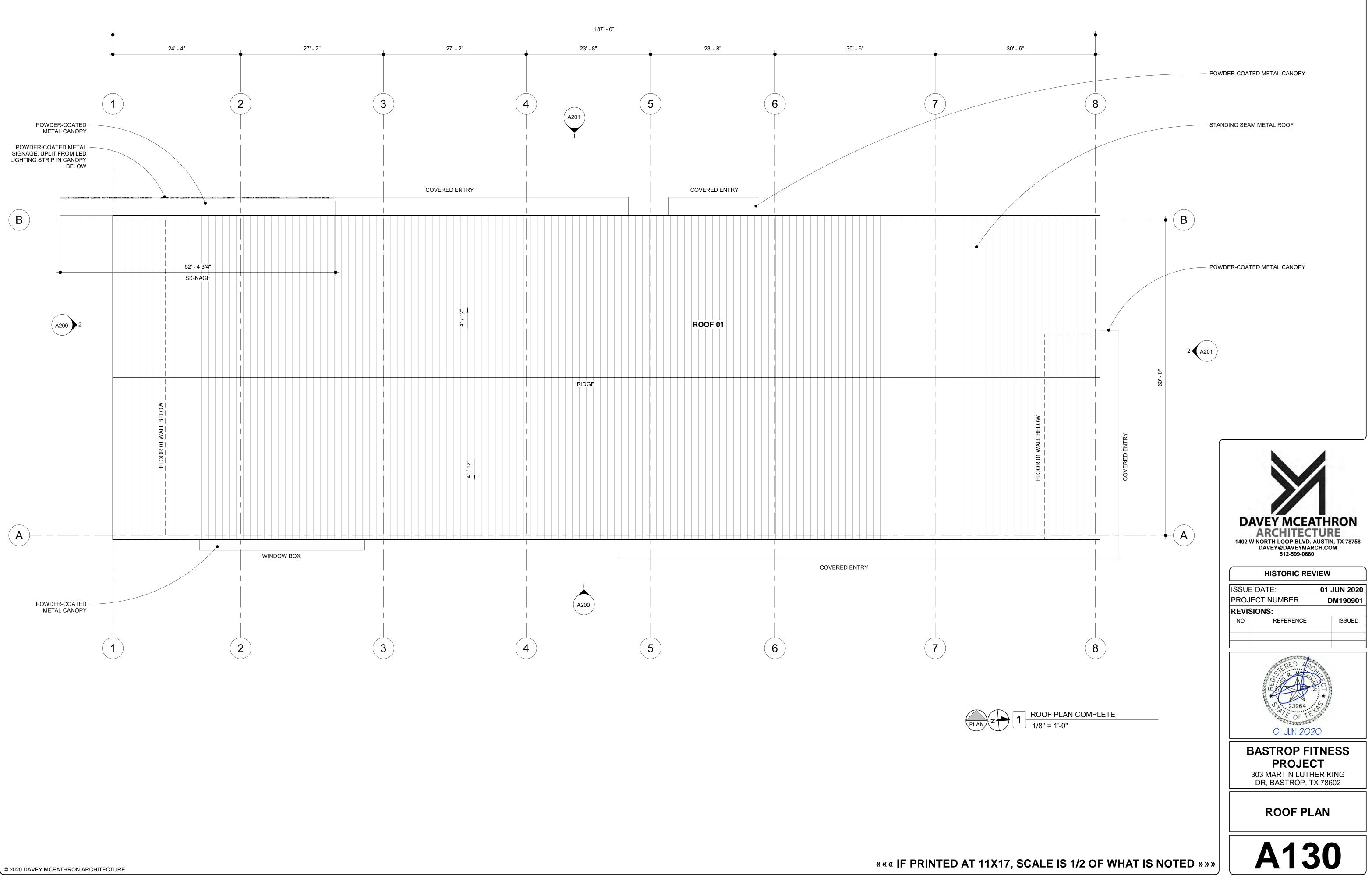
GENERAL NOTES - SITE PLAN 1. DO NOT SCALE DRAWINGS. WRITTEN

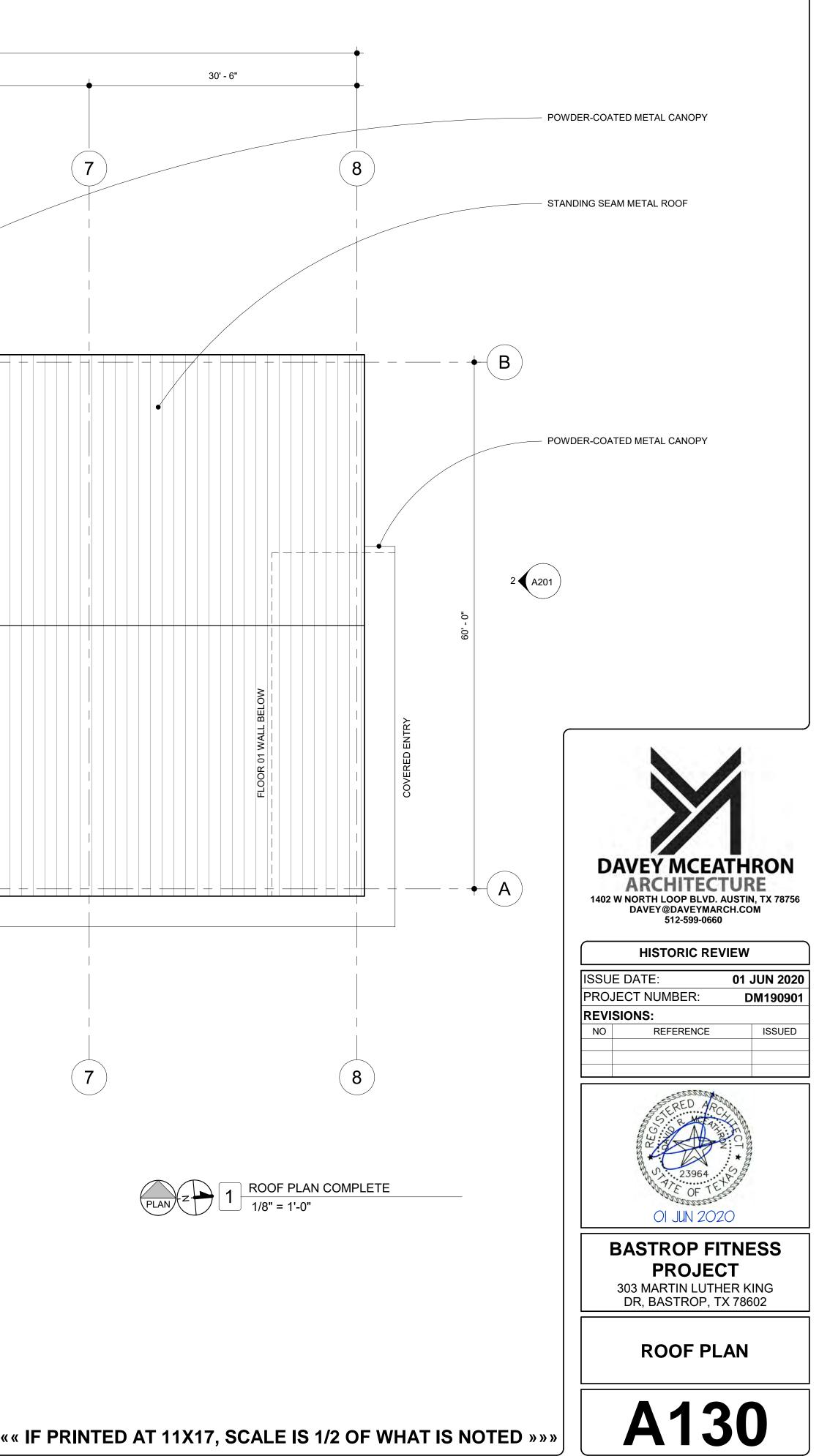
- DIMENSIONS GOVERN. 2. VERIFY EXISTING SITE CONDITIONS AND REPORT TO ARCHITECT ANY DISCREPANCIES BETWEEN ACTUAL FIELD CONDITIONS AND THESE PLANS PRIOR TO COMMENCING WORK.
- 3. ALL NEW SIDEWALKS TO HAVE MAX 1:20 GRADE WITH CROSS SLOPE TO DRAIN AT 1/4" PER FOOT. SEAL CONCRETE AND PROVIDE A BROOM FINISH UNLESS OTHERWISE NOTED.
- 4. CONTRACTOR TO TIE INTO EXISTING UTILITIES. SOME UTILITIES MAY REQUIRE UPGRADING, CONTRACTOR TO VERIFY. VERIFY METER & UTILITY SERVICE LOCATIONS PRIOR TO INSTALLATION.
- 5. CONTRACTOR TO UTILIZE TEMPORARY EROSION AND SEDIMENTATION CONTROL
- MEASURES AS REQUIRED BY CODE. 6. PROVIDE TREE PROTECTION FOR ALL TREES NOT MARKED FOR REMOVAL. 7. TREES TO BE REMOVED SHALL BE
- REMOVED FROM THE SOIL TO A DEPTH OF 12" BELOW THE SURFACE OF THE GROUND IN THE AREA OF THE BUILDING
- 8. SELECTIVELY CLEAR OR PRUNE ANY UNWANTED TREES OR THOSE IMPEDING CONSTRUCTION WITH CONSENT OF OWNER. COORDINATE REMOVAL AND DISPOSAL OF UNWANTED TREES AND OTHER LANDSCAPING MATERIALS WITH OWNER.
- 9. PROVIDE NEW GUTTERS AND DOWNSPOUTS TO DRAIN ON SITE. DOWNSPOUTS TO DRAIN AWAY FROM BUILDING.
- 10. ENSURE ALL GRADING SLOPES AWAY FROM BUILDING FOR AT LEAST 10'-0".
- 11. CONTRACTOR TO STORE ALL NEW MATERIALS IN DRY AND SECURE LOCATION ON SITE AS SPECIFIED BY OWNER
- 12. EXTERIOR HVAC EQUIPMENT SHALL BE SCREENED IN COMPLIANCE WITH ANY AND ALL APPLICABLE REGULATIONS.
- 13. PROVIDE CONTROL AND EXPANSION JOINTS AS REQUIRED ON CONCRETE DRIVES, WALKS & PATIOS
- 14. EXISTING UTILIY LINE LOCATIONS ARE APPROXIMATED. CONTRACTOR TO VERIFY LOCATIONS PRIOR TO CONSTRUCTION.

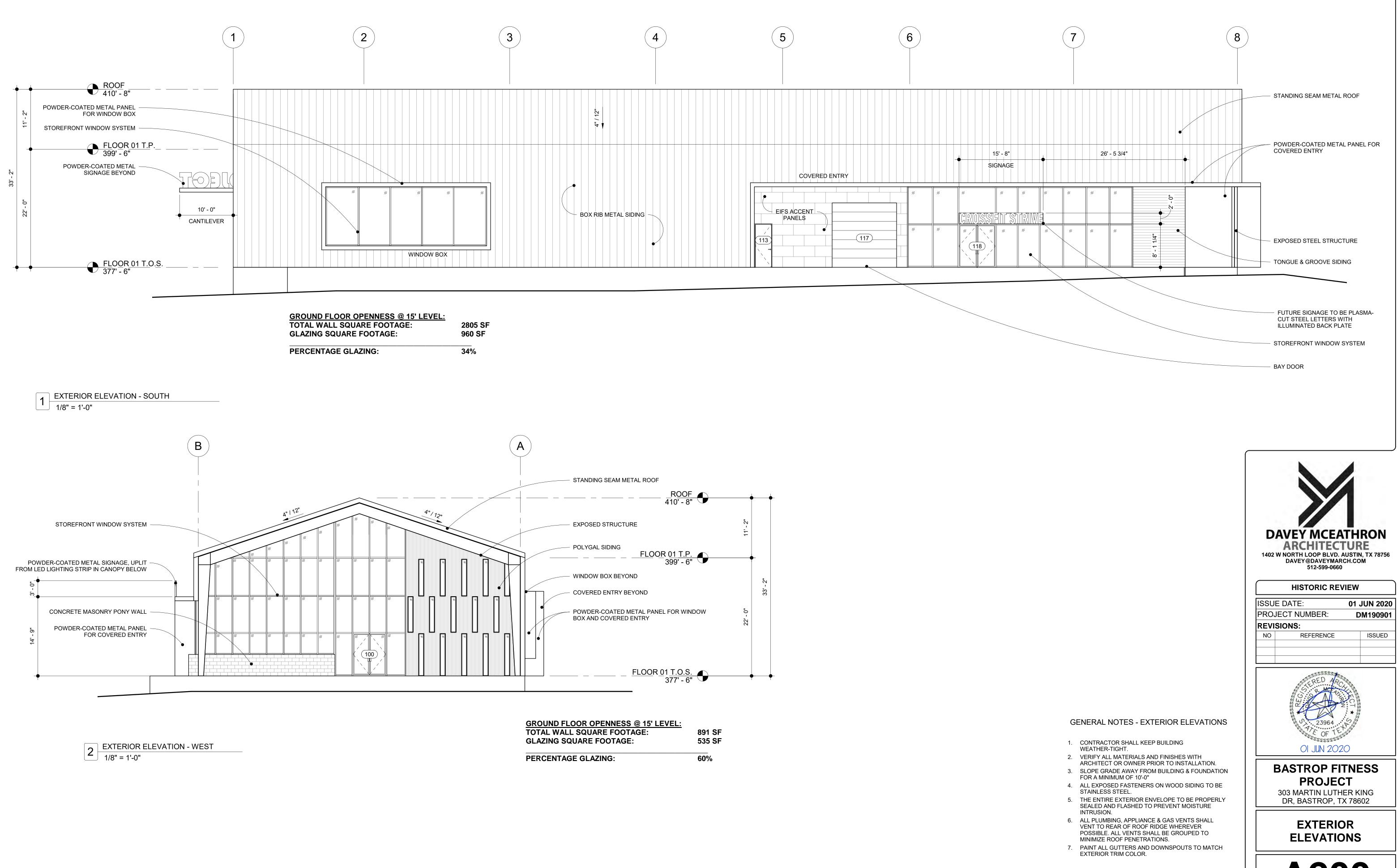


	SITE PLAN LEGEND
HB	HOSE BIBB
FH	FIRE HYDRANT
С	CLEANOUT
V	VENT PIPE
MH	MANHOLE
WV	WATER VALVE
WM	WATER METER
EM	ELECTRICAL METER
GM	GAS METER
Ô	ELECTRIC POLE
<del>E</del> E	OVERHEAD ELECTRIC





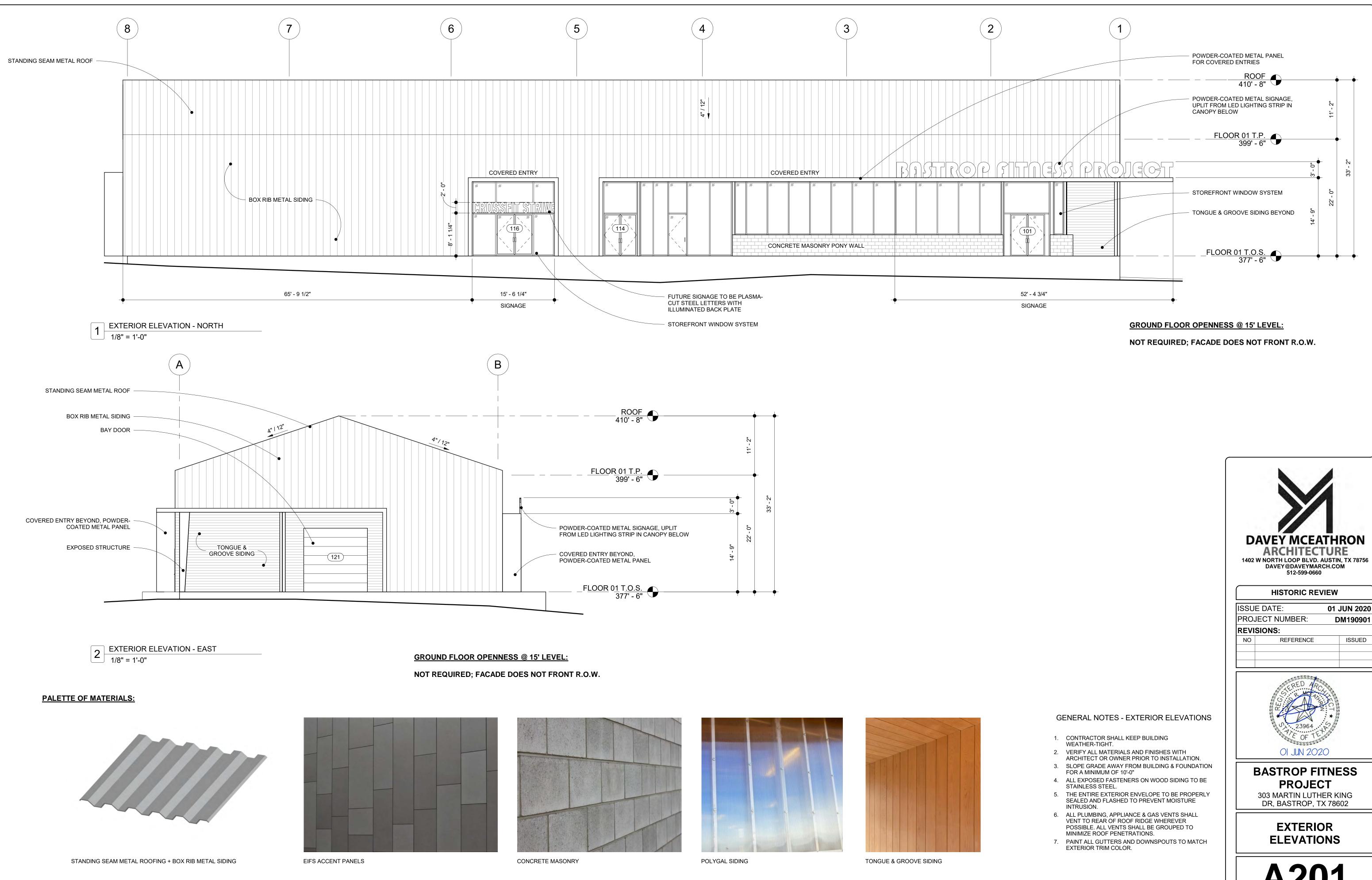




GLAZING SQUARE FOOTAGE:	535 SF
TOTAL WALL SQUARE FOOTAGE:	891 SF
GROUND FLOOR OPENNESS @ 15' LEVEL:	

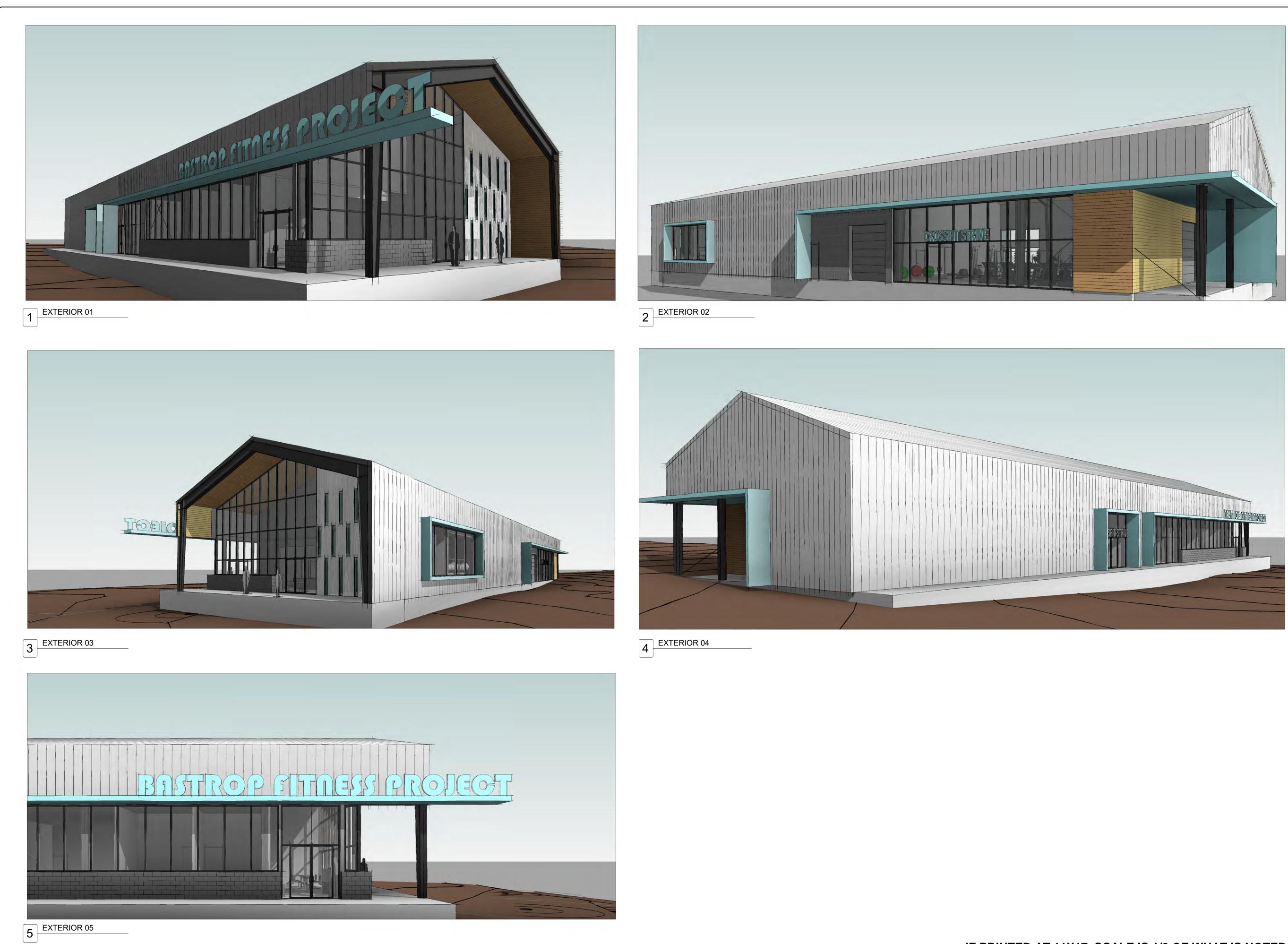
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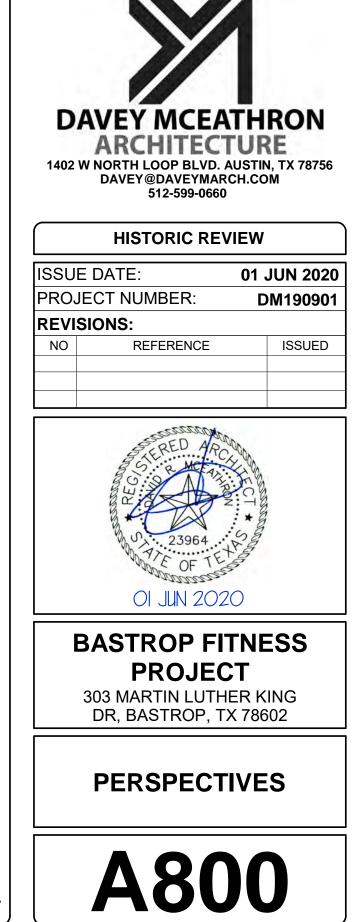


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© 2020 DAVEY MCEATHRON ARCHITECTURE



## ««« IF PRINTED AT 11X17, SCALE IS 1/2 OF WHAT IS NOTED »»»













#### MASSING, FACADE DESIGN, MATERIALS

Buildings should have a coherent formal vocabulary and exhibit a hierarchy in their composition. Buildings fronting major public spaces should have relatively simple fronts and roofs, with wings and plan articulations on secondary sides. Buildings should have a distinctly different bottom, top, and middle. Well defined roof lines are greatly encouraged. An expression line should delineate division between the ground floor and upper floors. The ground floor should have higher plate height than the upper floors. Pitched roofs are recommended for smaller buildings. A cornice should delineate the tops of facades for buildings with a flat roof. The use of arcades, porches, and colonnades is strongly recommended. Corner conditions need to be distinguished by a specially articulated portion of the building addressing both frontages in a similar manner. Buildings should be 2-4 stories high. Entries to the buildings should be well expressed (covered, recessed) and should be apparent.

Windows should be vertically proportioned and utilize distinct frames, materials, and colors for window surrounds. Awnings and shutters are recommended in accord with the building style selected. Wall-to-roof transition is a strong flavor giver and should be given special care to create a regionally appropriate expression. Building planes should avoid the large monolithic appearance of uninterrupted sameness; rather, they should be differentiated for reasons of scale, light control, and relatedness to the space they face or enclose. No mechanical equipment should be mounted on the exterior of the building in public view.

Acceptable wall materials for commercial buildings are: stone, brick or a combination of the two, stucco, cast stone, rock, marble, granite, tile, and glass block. Also acceptable are Hardi planks and sheets, and textured or patterned pored-in-place concrete with integrated color. EIFS can be used only as accent material. Acceptable roofing materials are: standing seam metal roof, stone and clay roof tile.



#### GROUND FLOOR, ADDRESSING OPEN SPACE, PARKING

A. Ground floor openness is critical for the street experience. Its height needs to be 15 ft or more and 60% of the walls at this level should have transparent storefront windows. For colonnades, arcades, and porches column spacing should be equal or less than the column height. Buildings facing major open space should relate to it by using porches, arcades or colonnades as well as have a meaningful functional connection. Buildings should relate to each other in terms of scale and materials. Building surrounding the same public space should utilize: 1) The same architectural character, 2) Similar floor or cornice height, 3) Similar treatment of wall-to-roof transition, and 4) Similar treatment of door and window openings.

B. Buildings should be interwoven with landscaping for desired aesthetic and functional results. Open space between buildings should not be a leftover space but rather typologically identifiable as pedestrian ways, forecourts, urban gardens, courtyards and playgrounds. Additions and expansions to buildings should be inspired by the original building character and be in harmony with the original design intent. Buildings at street terminations and building at corners should acknowledge their special location by specific design elements. Such elements may be more than one story high and may be enhanced by towers and arcades.

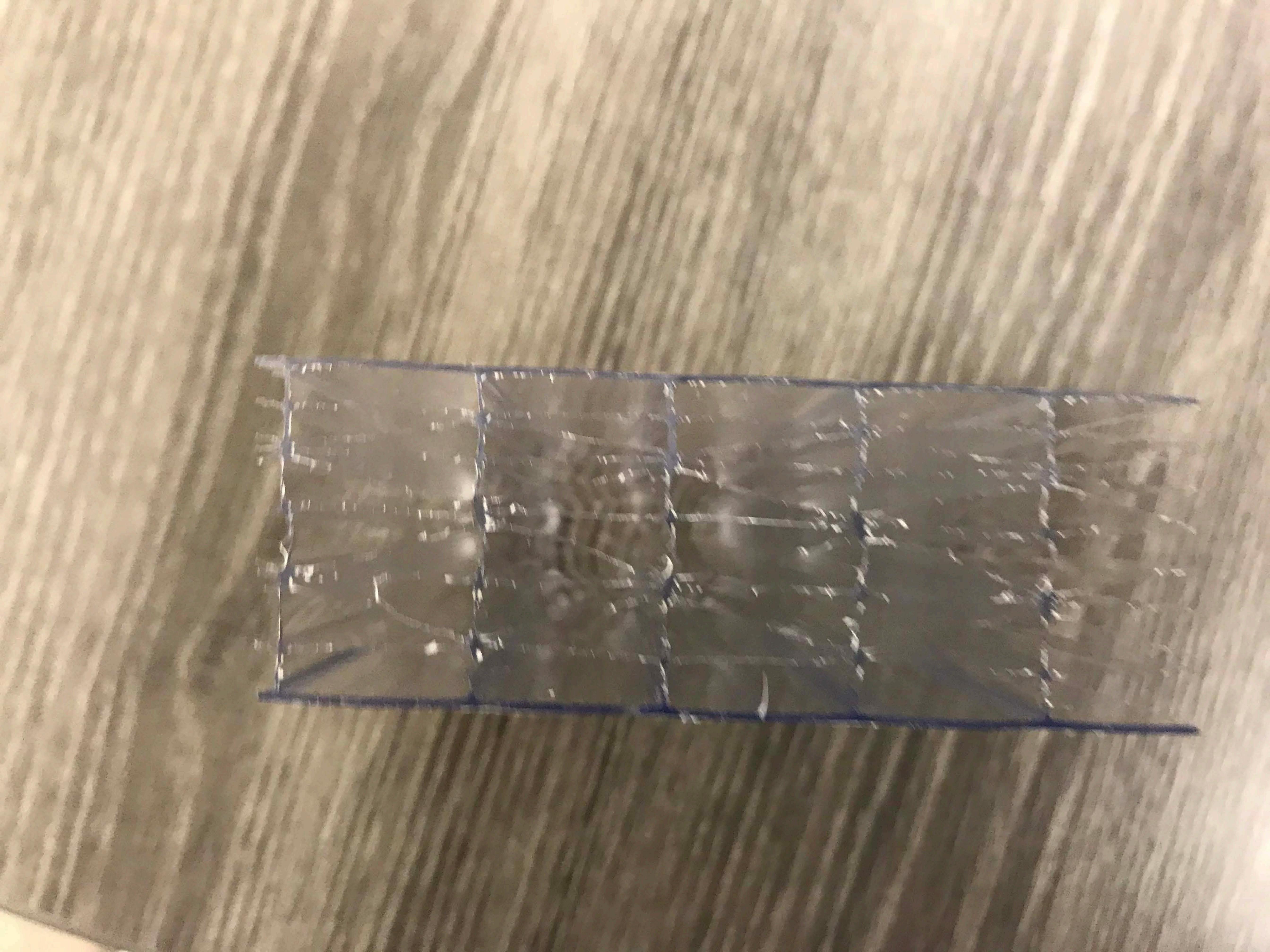
C. Windows, doors, and trim should be compatible with the architectural style. Specialty windows, such as circles, ovals, and fans, can be used but sparingly and for accent purposes only and should be of the same materials as the rest of the windows. Awnings are encouraged. Roofing and exterior materials are the same as courtvard apartments.

D. Visual impact of surface parking lots, loading, and service areas should be minimized. Parking lots should be located to the side or rear of buildings to allow building fronting on primary public streets. Loading areas should not be visible from any primary streets.

E. Roof-mounted equipment should be screened from view with enclosures that are consistent with the building architecture.

**Commercial Building Standards** 







# HISTORIC LANDMARK Commission Staff Report

MEETING DATE: June 17, 2020

AGENDA ITEM: 3F

#### TITLE:

Discussion about the Bastrop Building Block (B<sup>3</sup>) Code, Section 9.5.001 State of Demolition by Neglect.

#### STAFF REPRESENTATIVE:

Jennifer C. Bills, AICP, LEED AP, Assistant Planning Director/Historic Preservation Officer

#### **BACKGROUND/HISTORY:**

The Commission requested information on the process for enforcing the preservation of Historic Landmarks and other contributing properties with the historic districts.

#### POLICY EXPLANATION:

Within the Bastrop Building Block (B<sup>3</sup>) Code, Chapter 9 contains the criteria and process for determining if a property is in a state of deterioration that will lead to future demolition of Historic Landmarks or properties within the historic district that contribute to the character of the district. This was adopted with the original Historic Landmark Preservation Ordinance in 1998.

Section 9.5.001 State of Demolition by Neglect

(a)

informing the owner of the violation and the specifics of the alleged deterioration, requesting that the owner appear before the Historic Landmark Commission for determination of the existence of detrimental deterioration.

(b) If, after a public hearing before the Historic Landmark Commission, the Historic Landmark Commission determines that the deterioration has produced a detrimental effect as described in subsection A of this section, the owner shall cure the deterioration by Restoration or other appropriate actions within a reasonable period of time as determined by the Historic Landmark Commission but in no case longer than 180 calendar days from the determination by the Historic Landmark Commission. The owner must comply with all requirements of requesting a Certificate of Appropriateness from the Historic Landmark Commission. Failure of the owner to cure the deterioration within the time specified by the Historic Landmark Commission shall cause the property owner to be subject to penalties as defined in Section 14.03.009 (Article 9.8), which may be assessed civilly or in municipal court.

(c) Any Applicant who is dissatisfied with the action of the Historic Landmark Commission relating to the issuance or denial of a Certificate of Appropriateness shall have the right to Appeal the determination to the City Council. The Applicant has 15 calendar days from date of the Historic Landmark Commission action to file for the Appeal. The Appeal request will be placed on the next available City Council agenda. To be considered, the Appeal shall set forth the grounds for such Appeal and shall provide the City with any pertinent evidence and all related documentation related to the Appeal. The City Council shall use the adopted approval criteria for the Appeal review.

ATTACHMENTS: None

# HISTORIC LANDMARK Commission Staff Report



#### MEETING DATE: June 17, 2020

#### AGENDA ITEM: 3G

#### TITLE:

Discussion on appropriate gutter and downspout styles for Main Street façades.

#### STAFF REPRESENTATIVE:

Jennifer C. Bills, AICP, LEED AP, Assistant Planning Director/Historic Preservation Officer

#### BACKGROUND/HISTORY:

With the widening of the sidewalks along Main Street, existing canopies that once extended to the edge of the sidewalk now extend halfway into the new sidewalk. Many buildings have downspouts that are draining rainwater from the building roofs and awnings. Some of these downspouts extend of over the edge of the canopy and are now draining water into the middle of the sidewalk from a height of 8-10 feet.

A property owner has expressed interest in installing a gutter along the edge of the canopy and adding downspouts to an existing canopy that does not currently have existing downspouts.

#### POLICY EXPLANATION:

All of the canopies along Main Street extend into the street right-of-way (ROW), so any new construction will have to approved by the City. The Commission can discuss providing recommendations for gutter and downspout standards in order to create consistent and historically compatible options for future improvements.

#### ATTACHMENTS:

Attachment 1: Guidelines on Flood Adaptation for Rehabilitating Historic Buildings Attachment 2: Gutters & Downspouts – Heritage Hill Association



U.S. Department of the Interior National Park Service Cultural Resources, Partnerships & Science Washington, D.C.

## **Guidelines on Flood Adaptation** for **Rehabilitating Historic Buildings**

Jenifer Eggleston Jennifer Parker Jennifer Wellock

November 2019

An illustrated version of these Guidelines is expected to be issued in Fall 2020.

#### Contents

iii	Acknowledgements
1	Foreword
	The Secretary of the Interior's Standards
2	Introduction to the Standards
3	The Secretary of the Interior's Standards for Rehabilitations
	Guidelines for Rehabilitation
4	Using the Guidelines
4	The Guidelines on Flood Adaptation for Rehabilitating Historic Buildings
6	Assessing the Risk and Selecting an Adaptation Treatment
9	Planning and Assessment for Flood Risk Reduction
13	Temporary Protective Measures
16	Site and Landscape Adaptations
19	Protect Utilities
21	Dry Floodproofing
25	Wet Floodproofing
31	Fill the Basement
34	Elevate on a New Foundation
43	Elevate the Interior Structure
46	Abandon the First Story
49	Move the Historic Building
	Additional Information
53	Unconventional Treatments
54	Demolition

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#### Foreword

Flooding risk has long been a major challenge for many historic properties. Changing weather patterns, stronger hurricanes and other extreme weather events, sea level rise, increased nuisance flooding, king tides, and continuing development in flood plains are some of the factors increasing the risk of flooding events, both in terms of their frequency and magnitude. Some historic properties that have never flooded before may now be exposed to this risk, and those that flooded infrequently in the past may experience more instances of flooding or of water reaching higher levels than ever before.

The goal of the *Guidelines on Flood Adaptation for Rehabilitating Historic Buildings* is to provide information about how to adapt historic buildings to be more resilient to flooding risk in a manner that will preserve their historic character and that will meet *The Secretary of the Interior's Standards for Rehabilitation*. These guidelines should be used in conjunction with the *Guidelines for Rehabilitating Historic Buildings* that are part of *The Secretary of the Interior's Standards for the Treatment of Historic Properties with Guidelines for Preserving, Rehabilitating, Restoring & Reconstructing Historic Buildings*, issued in 2017. Like the *Guidelines for Rehabilitating Historic Buildings*, these guidelines are intended to focus primarily on historic buildings and their site and setting.

The treatments described here may be considered as means of preserving historic properties located in floodplains and making them more resilient to flooding hazards. Flood events can be particularly destructive to historic buildings and therefore may require greater adaptive treatments. While many of these treatments can be undertaken with minimal effects on the historic character of a property, some may require more change than would normally be acceptable in other contexts. Consequently, such treatments would generally not be appropriate to use in the majority of rehabilitation projects when the historic building does not have a flood risk. The treatment selected should always be one that minimizes changes to the building's historic character. Adaptation treatments should reduce the risk of flood damage as much as possible, but should do so without destroying significant historic materials, features, or spaces.

The National Park Service has developed these guidelines for adapting historic buildings to flooding risks in accordance with its directive to provide information concerning professional methods and techniques to ensure the preservation and rehabilitation of the historic properties that are an important part of the nation's heritage.

#### The Secretary of the Interior's Standards for Rehabilitation

#### **Introduction to the Standards**

The Secretary of the Interior is responsible for establishing standards for all cultural resources programs under Departmental authority and for advising Federal agencies on the preservation of historic properties listed in or eligible for listing in the National Register of Historic Places, including National Historic Landmarks. In partial fulfillment of this responsibility, *The Secretary of the Interior's Standards for the Treatment of Historic Properties* have been developed to guide work undertaken on historic properties; there are separate standards for preservation, rehabilitation, restoration, and reconstruction.

The *Standards for Rehabilitation* are one of the four sets of standards that comprise the overall treatment standards and address the most prevalent treatment. "Rehabilitation" is defined as the act or process of making possible a compatible use for a property through repair, alterations, and additions while preserving those portions or features which convey its historic, cultural, or architectural values.

The treatment standards were developed by the Secretary of the Interior to determine the appropriateness of proposed work on historic properties. The *Standards for Rehabilitation* guide Federal agencies in carrying out their responsibilities for properties in Federal ownership or control; and state and local officials in reviewing both Federal and non-Federal rehabilitation proposals. In addition, the Standards are used to determine if a rehabilitation project qualifies as a "certified rehabilitation" for Federal Historic Preservation Tax Incentive purposes. They have also been widely adopted and used by local historic district and planning commissions, local governments, non-profit organizations, design and building professionals, and the general public in communities across the country.

The intent of the Standards is to assist in the long-term preservation of the historic character of a historic property through the retention of its historic materials, features, and spaces. The Standards pertain to historic buildings of all materials, construction types, sizes, and occupancies, and address both the exterior and the interior of the building. They also encompass a building's site and setting, including landscape features as well as attached, adjacent, or related new construction.

#### The Secretary of the Interior's Standards for Rehabilitation (36 CFR Part 68)

The *Standards for Rehabilitation* are codified in National Park Service regulations 36 CFR Part 68 and are regulatory only for projects receiving Historic Preservation Fund grant assistance and other Federally-assisted projects. The Standards can be used to guide work on any historic building. A separate version of the *Standards for Rehabilitation* codified in 36 CFR Part 67 is used for "certified historic structures" pursuant to the Federal Historic Preservation Tax Incentives Program.

The following Standards (36 CFR Part 68) are to be applied to specific rehabilitation projects in a reasonable manner, taking into consideration economic and technical feasibility, as well as the property's significance, physical condition, and all available documentation.

- 1. A property will be used as it was historically or be given a new use that requires minimal change to its distinctive materials, features, spaces and spatial relationships.
- 2. The historic character of a property will be retained and preserved. The removal of distinctive materials or alteration of features, spaces, and spatial relationships that characterize a property will be avoided.
- 3. Each property will be recognized as a physical record of its time, place, and use. Changes that create a false sense of historical development, such as adding conjectural features or elements from other historic properties, will not be undertaken.
- 4. Changes to a property that have acquired historic significance in their own right will be retained and preserved.
- 5. Distinctive materials, features, finishes, and construction techniques or examples of craftsmanship that characterize a property will be preserved.
- 6. Deteriorated historic features will be repaired rather than replaced. Where the severity of deterioration requires replacement of a distinctive feature, the new feature will match the old in design, color, texture and, where possible, materials. Replacement of missing features will be substantiated by documentary and physical evidence.
- 7. Chemical or physical treatments, if appropriate, will be undertaken using the gentlest means possible. Treatments that cause damage to historic materials will not be used.
- 8. Archeological resources will be protected and preserved in place. If such resources must be disturbed, mitigation measures will be undertaken.
- 9. New additions, exterior alterations, or related new construction will not destroy historic materials, features, and spatial relationships that characterize the property. The new work will be differentiated from the old and will be compatible with the historic materials, features, size, scale and proportion, and massing to protect the integrity of the property and its environment.
- 10. New additions and adjacent or related new construction will be undertaken in such a manner that, if removed in the future, the essential form and integrity of the historic property and its environment would be unimpaired.

#### **Guidelines for Rehabilitating Historic Buildings**

#### Using the Guidelines

The *Standards for Rehabilitation* are a series of ten principals about maintaining and preserving the historic character and features of a historic property. Guidelines give more detailed, best-practice advice to apply the Standards during project planning by providing general design and technical recommendations. Unlike the Standards, guidelines are not codified as program requirements.

The Guidelines for Rehabilitating Historic Buildings issued in 2017 are part of The Secretary of the Interior's Standards for the Treatment of Historic Properties with Guidelines for Preserving, Rehabilitating, Restoring & Reconstructing Historic Buildings.

The Guidelines are presented in a "Recommended" vs. "Not Recommended" format. Those approaches, treatments, and techniques that are consistent with *The Secretary of the Interior's Standards for Rehabilitation* are listed in the "Recommended" column on the left; those approaches, treatments, and techniques which could adversely affect a building's historic character are listed in the "Not Recommended" column on the right. The Guidelines are intended to be used in the context of rehabilitating historic buildings and include sections on a building's site and setting. They are not meant to fully address the treatment of cultural landscapes, archeological resources, historic districts, and other types of historic resources.

#### The Guidelines on Flood Adaptation for Rehabilitating Historic Buildings

Unlike other versions of the Guidelines, which are organized principally by material or building feature, the *Guidelines on Flood Adaptation for Rehabilitating Historic Buildings* are organized by adaptive treatment. The most common adaptation measures undertaken to create more resilient properties have been included in these Guidelines. These adaptive treatments are described using definitions established by the Federal Emergency Management Agency (FEMA) and are discussed in more detail in the sections that follow. The adaptation treatments are:

- Planning and Assessment for Flood Risk Reduction
- Temporary Protective Measures
- Site and Landscape Adaptations
- Protect Utilities
- Dry Floodproofing
- Wet Floodproofing
- Fill the Basement
- Elevate the Building on a New Foundation
- Elevate the Interior Structure
- Abandon the First Story
- Move the Historic Building

The treatment approaches are not organized in a particular order. "Planning and Assessment for Flood Risk Reduction" is a step that should be completed for all projects prior to selecting an adaptation treatment. "Temporary Protective Measures" and "Protect Utilities" are treatments that generally result in minimal changes to a building. The impacts of the other adaptation treatments to the historic building will vary greatly depending on multiple factors such as location and site conditions of a property, historic significance, flood risk, physical and structural attributes, and its features, materials, and architectural style. For example, elevating a building on a new foundation may have a minimal impact on one building's historic character, yet for another property the same treatment may change the building's historic character significantly and not meet the *Standards for Rehabilitation*. In many instances selecting more than one treatment or combining treatment approaches may be necessary to make the building more resilient to flooding and/or to minimize the impacts to the historic character and appearance of the property.

The *Guidelines on Flood Adaptation for Rehabilitating Historic Buildings* are general and intended to provide guidance in interpreting and applying the Standards to rehabilitation projects involving buildings that are at a risk for flooding. They are not meant to give case-specific advice. For instance, they cannot tell property owners or developers which features of a historic building are important in defining its historic character and, therefore, must be retained. (See *Preservation Brief 17: Architectural Character – Identifying the Visual Aspects of Historic Buildings as an Aid to Preserving their Character.)* This case-by-case determination is best accomplished with the assistance of qualified historic preservation professionals in the very early stages of project planning. For any treatment undertaken, assemble the appropriate project team, including architects, engineers, and other professionals. Obtain any necessary approvals or certifications prior to beginning work on the project.

These Guidelines do not address disaster response or short-term recovery. The Guidelines may be used after an event as properties undergo rehabilitation and adaptation to address the damage and future flooding risk. Limited information about drying and cleaning after a flood is included with descriptions of treatments that allow flood waters into a building.

The goal of achieving greater resilience and reducing flood risk must be balanced with minimizing the impacts to the historic character of the building. These guidelines are designed to help identify and evaluate the different adaptation options in order to select a treatment that meets the *Standards for Rehabilitation*. Wherever possible, the guidelines provide "Recommended" methods of implementing each type of adaptation in order to preserve as much of the historic character of a historic building and its site and setting as possible. All of the "Recommended" treatments may not apply to every project, even those within a single adaptation method. Technical limitations are identified for each treatment. The Guidelines, like the Standards, should be applied after taking into consideration economic and technical feasibility.

The *Guidelines on Flood Adaptation for Rehabilitating Historic Buildings* should only be applied to historic properties with an established risk of flooding. This risk can be determined by the mapped areas of the 1% and 0.2% annual chance of flooding (such as a Flood Insurance Rate Map), a community model or projection for flood risk areas, or similar science-based projections. Such maps and models take into account river flow, storm tides, hydraulic analysis, rainfall, and topographic surveys among other factors.

A project meets the Standards when the overall effect of all work is consistent with the property's historic character. Treatments that might not be considered in other rehabilitation contexts because of their impacts on the historic character of a property may be acceptable in the context of adapting the property to flooding hazards. Even in this context, the selected treatment should always be one that minimizes the changes to the building's historic character and appearance. Adaptation treatments should increase the building's resilience to flooding risks as much as possible, but should do so without destroying significant historic materials, features, or spaces.

The entire scope of the project, including alterations related to flood adaptation as well as any other work to the building or site, must be evaluated. The amount of change to features and spaces that can be accepted within the Standards will vary according to the roles they play in establishing the character of the property. Aspects less critical to the historic character may be altered more substantially with less effect on the character of the building as a whole. However, the cumulative effect of changes that are numerous or substantial can in some instances alter the overall character of the building, in which case the rehabilitation project will not meet the Standards.

Finally, the Guidelines address unconventional treatments and situations when a historic building may not be able to be retained and preserved. Demolition is not a treatment that meets the *Standards for Rehabilitation*. These two sections are included solely for informational purposes.

#### Assessing the Risk and Selecting an Adaptation Treatment

Before undertaking any work to adapt a historic building to be more resilient to potential flooding, research about the actual flood risk as well as the historic property must be undertaken. Proposed alterations to the property will need to be adequate to address the identified risk. Property owners should take into account the characteristics of the potential flooding, such as the direction the water will likely flow, the expected speed and depth of the water, the duration of the flood, whether there will be wave action, the potential for water-borne debris, and the salinity, toxicity, or cleanliness of the flood waters. The applicable Federal, state and local code requirements and regulations must also be considered.

These guidelines will use the term "**established flood risk level**" to describe the propertyspecific height of anticipated floodwater. This measurement should be based upon recognized flood data, past flood events, site-specific reports, and other applicable information. Often this height is dictated by local floodplain management and codes and can be higher than the predicted flood level. In order to remain more general, this document purposefully does not use terms for flood risk defined by other agencies. The use of "established flood risk level" is an attempt to avoid confusion and the appearance of providing interpretation of Federal, state, and local regulatory terms for flood risk.

Prior to planning or undertaking any work, the spaces, features, materials, and finishes of the historic property affected by the flooding or the proposed adaptive treatment should be documented. The property's existing capacity to sustain and recover from flooding, as well as its physical condition and use, should be evaluated. Those spaces, features, and materials that are important to the historic character and significance of the property should be identified for retention and preservation. Prior alterations that provide additional resiliency to flooding may also be considered for retention, improvement, or enhancement. In regions where buildings were historically adapted to frequent flooding, the traditional treatment approaches should be considered.

It may also be helpful to consider adapting a historic property in scalable phases, particularly for coastal properties at risk to rising sea levels and increasing flood risk. Where the magnitude and time horizon of the risk are uncertain, it is important to build in future capacity where economically and technically feasible – for example, a flood wall with an over-engineered foundation that can be extended higher in the future.

These Guidelines are intended to assist property owners undertaking a flooding adaptation project, recognizing that, as with any rehabilitation project, there are always other design, programmatic, financial, and regulatory requirements that must also be considered in planning such projects. Among these, the National Flood Insurance Program (NFIP) may have significant financial impacts and influence design decisions. The NFIP is administered by the FEMA and implemented by state and local governments and is responsible for providing flood insurance, improving floodplain management, and developing Flood Insurance Rate Maps (FIRM). These Guidelines are not an attempt to interpret or provide guidance on the NFIP or which treatments may or may not reduce flood insurance costs.

The NFIP includes a provision that provides relief for historic buildings from certain floodplain requirements. This relief is not designed to reduce flood risk or insurance rates. The NFIP uses the term "historic structures" (44 CFR Part 59) and defines them as follows:

- Listed individually in the National Register of Historic Places or preliminarily determined by the Secretary of the Interior as meeting the requirements for individual listing on the National Register.
- Certified or preliminarily determined by the Secretary of the Interior as contributing to the historical significance of a registered historic district or a district preliminarily determined by the Secretary to qualify as a registered historic district.

- Individually listed on a state inventory of historic places in states with historic preservation programs which have been approved by the Secretary of the Interior.
- Individually listed on a local inventory of historic places in communities with historic preservation programs that have been certified either by an approved state program as determined by the Secretary of the Interior or directly by the Secretary of the Interior in States without approved programs.

This consideration is an option for historic properties when flood code-compliant work would result in a project that does not meet the *Standards for Rehabilitation*. The following treatments are intended to preserve historic properties located in a floodplain and make them more resilient to flooding hazards.

## **Planning and Assessment for Flood Risk Reduction**

For historic properties at risk of flooding, treatments should be undertaken to avoid or minimize the impacts and to ensure the continued preservation of the property and its historic character. Planning and risk assessment for potential flooding should therefore be undertaken proactively, and properties should be maintained in good condition, monitored regularly, and appropriately documented as part of any treatment plan for the property.

A historic building may have existing characteristics, features, or materials that themselves have inherent resilience to flood hazards and can help address or minimize the impacts of flooding. When applicable and appropriate these characteristics, features, or materials should be taken into consideration early in the planning stages of a rehabilitation project before proposing any new treatments. When new adaptive treatments are needed, they should be carried out in a manner that will have the least impact on the historic character of the building, its site, and setting. In adapting the building to be more resilient to flooding risks, the goal should always be to minimize the impacts to the building's historic character to the greatest extent possible. For future reference, it is helpful to record the decision making process.

All planning and assessment for reducing flood risks should include the following:

- Identify the historic property's flood risks and vulnerabilities and any existing capacity for resilience.
- Monitor the condition of the property and regularly reevaluate its flooding risks and vulnerabilities.
- Document the historic property as a record and future guide. *The Secretary of the Interior's Standards for Architectural and Engineering Documentation* or *Preservation Brief 43: Preparation and Use of Historic Structure Reports* can serve as a guide.
- Review and understand the compliance requirements of the local flood plain ordinance and related local regulations.
- Identify and assess all feasible adaptation treatment options as to how they will address the flooding risk.
- For each treatment option, evaluate the impacts of any potential alterations to the historic property's character-defining spaces, features, and materials, and its site and environment.
- Consideration should be given to how local communities have decided to adapt to the risk of flooding hazards and treat historic properties impacted by these risks. Also consider the future viability of community infrastructure, such as roads, sewers, and other utilities and services.

- Select the time frame for which the adaptation treatment is expected to adequately reduce the risk. This could be tied to the length of a mortgage or some other point in the future.
- Always select an adaptive treatment that minimizes the impacts to the historic character and appearance of an individual property and/or a larger historic district.

Recommended	Not Recommended
Identifying historic materials, features, and spaces that are important in defining the historic character of the property when planning and undertaking flooding adaptation treatments.	
Developing and implementing a plan to reduce the risk of damage or destruction to the historic building.	Failing to proactively analyze and address a flooding risk.
Identifying and evaluating the vulnerabilities of the historic property to the impacts of flooding using the most current climate information and data available.	Failing to identify and periodically reevaluate the potential vulnerability of the building, its site, and setting to the impacts of flooding.
Assessing the potential impacts of known vulnerabilities on character-defining features of the building, its site, and setting.	
Reevaluating and reassessing potential impacts on a regular basis.	
Documenting the property and character- defining features as a record and guide for future repair work, should it be necessary, and storing the documentation in a weatherproof location with at least one duplicate at a secure site.	Failing to document the historic property and its character-defining features with the result that such information is not available in the future to guide repair or reconstruction work.
Maintaining the building, its site, and setting in good repair, and regularly monitoring character-defining features.	Failing to regularly monitor and maintain the property and the building systems in good repair.

Recommended	Not Recommended
Using and maintaining existing historic and non-historic characteristics, features, and materials of the historic building, its site, setting, and larger environment (such as a site wall that keeps out flood waters) that may help to avoid or minimize the impacts of flooding.	
Undertaking work to prevent or minimize the loss, damage, or destruction of the historic property while retaining and preserving significant features and the overall historic character of the building, its site, and setting.	Carrying out adaptive measures intended to address the impacts of flooding that are unnecessarily invasive or will otherwise adversely impact the historic character of the building, its site, or setting.
Ensuring that, when planning work to adapt for flooding, all feasible alternatives are considered, and that the options requiring the least alteration are considered first.	
Replacing damaged or deteriorated historic materials in kind where the traditional material is flood-damage resistant. Replacing damaged or deteriorated historic materials that are not resilient to flooding with proven flood-damage resistant substitute materials that match the appearance and	
design. Utilizing local and regional traditions (such as elevating residential buildings) for adapting buildings in response to flooding when compatible with the historic character of the building, its site, and setting.	Utilizing an adaptation treatment traditionally used in another region or one typically used for a different building type or architectural style which is not compatible with the historic character of the property.

Recommended	Not Recommended
Using special exemptions and variances when prescribed adaptive treatments to protect buildings from flooding would otherwise negatively impact the historic character of the building, its site, and setting, while still taking steps to address or help minimize flood risk as much as possible.	Using a special exemption or variance to avoid taking any steps to address or help minimize the impacts of flood risk on a historic property.
Considering adaptive options, whenever possible, that would protect multiple historic resources, if the treatment can be implemented without negatively impacting the historic character of the overall historic property, district, or archeological resources, other cultural or religious features, or burial grounds.	Failing to consider other properties nearby in planning flood adaptations, therefore increasing the risk or exposure to neighboring properties.

#### **Temporary Protective Measures**

Temporary or non-permanent protective installations use materials or systems that can be deployed or activated when flooding is predicted, and removed or stored when the flood waters have receded. Temporary measures are generally the most affordable options and can have a low impact on the historic character of the property because they rarely involve permanent changes to the property. However, temporary measures may not be well suited for areas subject to frequent flooding. Temporary measures require time and people to quickly deploy them, so they are not a good option in locations where flooding may occur without sufficient warning time. Although someone may need to be on site to deploy the system, property owners or tenants themselves should secure the property as best they can and move to a safe location outside the flood zone for the duration of the event.

Temporary measures include sandbags, temporary dams, temporary floodgates, and floodwrapping systems. Sandbags are the most widely-recognized tool used to protect a property from flood water, but there are also synthetic products that function in a similar fashion. Temporary dams are intended to encircle a building or close gaps in floodwalls. Temporary floodgates are removable barriers installed in windows, doorways, and other openings. Flood wrapping systems cover the most vulnerable portion of an existing structure to create a temporary impervious barrier. Wrapping systems do not lend additional strength or stability to a structure, therefore any building using such a system must be able to withstand the forces of the flood.

No temporary system is failproof. There can be water seepage with these materials and systems, and they should be used in conjunction with pumps and emergency generators. Generators should be elevated above the established flood risk level. If a temporary measure is breached or overtopped, the deployed system should be immediately removed once flood waters have receded to promote drying. With any of these systems, if custom-sized or special components are needed for certain locations (like a floodgate for a specific-width opening), it is important that they be easy to locate and identify to facilitate timely installation when flooding is predicted.

- Temporary protective measures are generally designed for relatively shallow floods of limited duration.
- Deployment takes time and varies depending on the equipment or system and the labor available to put it in place.
- Equipment requires storage space, and, if stored off site, the logistics of getting the temporary barrier or system to the site must be factored into deployment time.

• During a flood event, temporary measures must not rely on continual on-site monitoring, as evacuation from the flooded area may be required until emergency personnel allow property owners to return.

Recommended	Not Recommended
Selecting a temporary barrier, system, or equipment that will protect the historic building from the predicted type of flooding and that can be deployed using the labor, equipment, and warning time available.	Selecting a system or equipment inadequate to protect the historic building from predicted flooding and/or cannot be deployed quickly.
Evaluating and ensuring the ability of masonry walls and temporary flood barriers or other systems covering masonry openings to withstand the forces of flooding. Reinforcing walls as necessary to withstand such forces.	Reinforcing masonry walls to withstand the forces of flooding in a manner that destroys historic materials and features or diminishes the historic character of the property.
Installing fastening devices or stanchions to attach the temporary barrier or system in concealed or secondary locations of the building, and in a manner that does not damage, alter, or otherwise impact the historic character of the property.	Installing fastening devices or stanchions where they would damage, alter, or otherwise impact the distinctive materials, features, and spaces of the property.
Establishing procedures, responsibilities, and regular training for deploying temporary barriers and other systems.	
Installing pumps to remove water that breaches the temporary barrier or other system. If pumping out water post-flood event, ensuring that the water is pumped far enough from the protected property to avoid seeping back in.	
Investing in a generator as a backup to operate the pumps if there is a power failure during or after a flood. Installing a generator in a floodproof enclosure or above the established flood risk level.	

Recommended	Not Recommended
Providing sufficient clearance between the temporary barrier and the walls of a historic structure to ensure that the force of the water against the barrier is not transferred to the historic building.	Erecting temporary barriers that are in direct contact with any significant historic building, structure, or object on the site.
Obtaining removable flood barriers for openings in any existing solid masonry perimeter site walls that are strong enough or reinforced to withstand the forces of a flood.	
Relocating furnishings and valuable collections to higher floors, upper shelves, or off-site to protect them from seepage or possible failure of the temporary barrier or system. Using water-tight containers for storage whenever possible.	Assuming that temporary barriers or other systems will keep out all water and, therefore, not planning ahead for possible seepage or failure of a temporary barrier or system.

### Site and Landscape Adaptations

A range of site and landscape interventions can be implemented to protect a historic building from flooding, both on the property itself as well as off-site when that is possible. The advantage of these options is that the historic building itself generally remains unaltered. The relationship of a building to its site and setting is important to the preservation of its historic character. Changes to the site and landscape should be carefully planned to avoid negatively impacting the property's historic integrity and any historic landscape features, archeological resources, other cultural or religious features. Such changes can also impact the integrity of a historic district.

The different types of site interventions can include basic regrading, large engineered structures, and infrastructure projects that may protect many properties in a neighborhood or district. Stormwater management systems, berms, and floodwalls can all be used to control water on a single site, and each of these site interventions can also be 'scaled up' to protect multiple properties and larger areas. Levees and the restoration of natural flood control systems like living shorelines, dunes, marshes, and wetlands are additional tools for larger-scale interventions.

Site mitigation will change how water moves through and around a property. Altering the existing site conditions must be done with thoughtful examination of potential impacts to neighboring properties adjacent to and downstream from a property.

- Site or landscape adaptation measures can make flooding worse for other properties, and codes or regulations may not allow their use in certain locations.
- Adding a new site or landscape feature is not possible on-site for properties that are already on fully developed sites (i.e., the building occupies the majority of the lot), although it may be possible to modify an existing feature like a site wall.

Recommended	Not Recommended
Identifying, retaining, and preserving features of the historic site and setting that are	Removing or substantially changing site features that are important in defining the
important in defining its overall historic character before undertaking site mitigation work or changing the landscape or its	overall historic character of the property so that, as a result, the historic character of the property is diminished.
features.	

Recommended	Not Recommended
Altering the site or setting in locations that are not important to the historic character of the property.	Damaging or destroying significant historic landscape features, designs, or plantings in order to establish a new site or landscape feature to protect the property from flood risks.
Retaining the topography and historic relationship between buildings and the site and setting.	Changing the grade level of the site if it substantially diminishes its historic character. For example, adding fill to a site such that the formerly visible historic foundation is concealed.
Protecting and maintaining buildings, site, and landscape features by providing proper drainage to ensure that water does not erode foundation walls, drain toward the building, or damage or erode the landscape.	<ul><li>Failing to ensure that site drainage is adequate so that buildings and site features are damaged or destroyed.</li><li>Changing the site grading so that water does not drain properly or is redirected toward other buildings or structures.</li></ul>
Surveying and documenting areas where the terrain will be altered or new features constructed to determine the potential impact to important landscape features, archeological resources, other cultural or religious features, or burial grounds.	Failing to survey the building site prior to beginning work, which may result in damage or loss of important landscape features, archeological resources, other cultural or religious features, or burial grounds.
Protecting (e.g., preserving in place) important site features, archeological resources, other cultural or religious features, or burial grounds.	Leaving known site features or archeological material unprotected so that it is damaged as a result of adaptation work.
Planning and carrying out any necessary site investigation before adaptation work begins, using professional archeologists and methods, when preservation in place is not feasible.	Allowing unqualified personnel to conduct archeological investigations, which can result in damage or loss of important archeological material.
Improving or restoring on-site or adjacent natural systems such as living shorelines, wetlands, and beaches and dunes.	
Selecting new infrastructure that is able to retain floodwaters on site, such as a cistern, bio-swale, permeable pavers, green roofing and associated rail collection systems.	

Recommended	Not Recommended
Designing new or improving existing storm- water management systems to reduce surface floods and reverse-flow flooding (water moving backward through the system to flood through drains). Storm-water management systems may include water retention features such as cisterns, bio-swales, permeable pavers, and green roofs.	Damaging or destroying historic materials, features, or spaces of the historic building, site, and setting in order to add or improve storm-water management.
Constructing a levee, berm, or embankment on adjacent or nearby land outside the historic site or district to minimize impacts to the character of the historic property and increase area of protection for the historic site or district.	Damaging or destroying important landscape features, archeological resources, other cultural or religious features, or burial grounds in order to construct the flood protection.
Designing a new floodwall or berm or improving an existing barrier to provide flooding protection to a historic site.	
Ensuring that the new or modified floodwall or berm is compatible with the historic character of the property.	Constructing a tall floodwall or berm that is incompatible with the historic character of the site or setting that blocks the property from significant viewsheds, or alters the appearance of the property from the public right-of-way.

### **Protect Utilities**

Utilities and mechanical systems for historic buildings are often placed in basements to conceal them from sight. Any part of these systems that is in such flood-vulnerable locations should be elevated or relocated above the established flood risk level. Utilities and mechanical systems should be relocated to utilitarian or other secondary spaces in historic buildings that are unlikely to flood. Exterior utilities and mechanical systems should similarly be elevated to protect them from flooding, and placed in locations that minimize as much as possible their visibility and impact on the historic character and appearance of the building.

When planning a project involving mechanical, electrical, plumbing, or fire suppression systems, it is helpful to be aware of the service life of the various features of the systems involved. Sometimes it may be necessary to keep the systems, in whole or in part, in the existing location even though it is a known flood risk area of the property. This part of the system will need to be placed within a watertight enclosure or be sacrificial and replaced after a flood. Depending on the frequency of expected flooding, the cost of that part of the system, and its expected service life, this approach may be economically reasonable.

The protection of utilities should be addressed as part of any adaptation treatment. While utilities are not specifically addressed in all other treatments described in these Guidelines, the following recommendations are applicable.

- The new location for the equipment must provide adequate space and ventilation requirements.
- The relocated equipment must be accessible for monitoring, servicing and inspection.

Recommended	Not Recommended
Relocating all utilities above the established flood risk level or protecting them in place with a watertight or impermeable enclosure.	Relocating systems and utilities to a historically significant interior space or a highly visible location.
Relocating and anchoring exterior mechanical equipment and fuel tanks to an elevated platform that is compatible with the building's historic character and is, preferably, on a secondary or otherwise less visible elevation.	Constructing a new platform for exterior equipment with incompatible materials and/or in a highly visible location if it can otherwise be avoided.

Recommended	Not Recommended
Using fencing or landscaping to screen exterior mechanical equipment and reduce its visibility.	
Relocating interior mechanical equipment to utilitarian or insignificant spaces within the building that are unlikely to flood.	
Relocating ducts, pipes, and conduit to spaces that are unlikely to flood to the extent practical; and concealing such systems within walls, attics, chases, and soffits in historically-finished spaces.	Relocating ducts, pipes, and conduit to primary spaces and leaving them exposed, or concealing the systems in a manner that will change the overall character of the space.
Insulating the outside of ducts in the established flood risk area so that insulation can be removed after a flood to promote drying.	Selecting ducts with integral insulation that is not flood-damage resistant and will be located in the established flood risk area.
Installing an electrical disconnect well above the established flood risk level in an easy to access location. This should be separate from the utility panel.	
Eliminating electrical service to (or separating it from) flood-prone areas of the building or site with minimal disturbance to historic features and finishes.	Damaging or destroying historic interior or exterior features, finishes, or materials to an excessive degree in order to access wall cavities for re-wiring.
Installing backflow prevention devices.	
Installing sump pumps at the lowest level of the structure that are powered by a back-up power source.	

# **Dry Floodproofing**

Dry floodproofing is an adaptation method designed to keep water out of a building. This treatment requires establishing a watertight seal on the exterior of the foundation and sealing all interior spaces below the established flood risk level. This adaptation measure may involve significant alterations that impact historic spaces, features, and materials affecting the building's historic character and appearance. In order to dry floodproof a property, all openings (windows, doors, and any utility penetration) that extend or are completely below the established flood risk level must be designed to be temporarily or permanently sealed. Exterior foundation surfaces must be impervious to water. This can be accomplished with a waterproof coating or membrane. Walls must be reinforced and anchored to withstand flooding forces, including buoyancy and debris impact, and a specially engineered drainage system must be installed. Impacts to historic character are likely to be less for buildings where dry floodproofing is only necessary below grade, thus reducing the visible impacts of the alterations.

The aspect of dry floodproofing that can pose the greatest concern from a technical preservation perspective is waterproofing. There are numerous products and technologies that are available, from tar to synthetic materials, and each product has different performance standards and the potential to negatively impact the historic materials to which it is applied. It is important that a product be thoroughly researched before applying it to a historic building, and closely monitored during and after installation in order to avoid unintended consequences that may hasten deterioration or cause damage or discoloration. Additionally, waterproof coatings, being vapor impermeable, can trap moisture in the wall or on the interior wall surface and cause deterioration or damage to historic materials.

Because of the strength of flood forces, dry floodproofing is generally not recommended for projected inundation levels that are more than three feet of water, particularly for unreinforced masonry. This adaptation method will require a high frequency of maintenance when exposed to repeated flooding. It is a more appropriate treatment to use where the flood risk is infrequent or below three feet.

- This adaptation method is only appropriate for load-bearing masonry buildings or frame buildings with masonry foundations, where the established flood risk level is below the top of the foundation, since masonry walls can be made to withstand flooding forces.
- The treatment requires regular maintenance, monitoring, and repair to perform effectively in repetitive flood events, as system components such as sealants and membranes can degrade or become damaged.

- This method is not recommended if flooding is anticipated at levels higher than three feet due to structural and other considerations.
- Any building component, which could include foundations, walls, slab, or sanitary systems, must be able to withstand hydrostatic forces.

#### **Structural Considerations**

Recommended	Not Recommended
Evaluating the strength of masonry walls and footings of historic buildings to ensure that they are strong enough to withstand floodwater pressure and flood-borne debris.	Proceeding with dry floodproofing without assessing the structural stability of the historic building.
Anchoring the structure to the foundation with appropriate placement and engineering, to prevent movement or collapse of the historic building.	Altering visible foundation walls to an extent that the historic character of a building is affected.

#### Site Drainage

Recommended	Not Recommended
Preparing to effectively manage the incoming floodwaters and addressing moving and removing the water from the site and historic building after the flooding.	
Installing a drainage system around the foundation and footings of the historic building to avoid undermining the building and to allow for proper site drainage.	Ignoring potential impacts to the historic landscape, archeological features, or other historic resources that could be caused by the installation of a drainage system.
Installing a backflow valve to prevent sewer and drain backups.	
Installing one or more sump pumps, if needed, to effectively control water on the site and reduce hydrostatic pressure post- flooding.	

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# **Coverings and Coatings**

Recommended	Not Recommended
Designing temporary or permanent closures for all openings (i.e. windows and doors) that are below or extend into the established flood risk level while maintaining the historic character of the building.	Blocking character-defining openings such as the historic building's windows and doors permanently in a nonreversible manner.
Blocking character-defining window or door openings on a primary or highly visible façade that extend into the flood protection zone with temporary flood shields.	
Blocking openings on secondary elevations or in less visually prominent locations with temporary flood shields or compatible masonry infill recessed within the opening to retain the profile of the opening.	
Installing stanchions, fasteners, or tracks for flood shields in concealed or secondary locations, and in a manner that does not damage, alter, or otherwise impact the historic character of the property.	Installing flood shield fasteners where they would damage, alter, or otherwise impact the historic character of the property.
Building a low wall that is compatible with the historic building, around basement windows to keep out flood waters.	
Installing required vents in foundation walls that can be sealed in the event of flooding.	
Coating or covering the exterior of foundation wall surfaces with a proven waterproof coating or membrane at or below the established flood risk level.	Coating or covering portions of the walls above the established flood risk level.
Wrapping the foundation with a temporary, removable waterproof membrane instead of applying a permanent waterproof coating, when possible.	

Recommended	Not Recommended
	Applying coatings or coverings in a manner that alter or damage the historic character of the building.
Applying a waterproof coating of the building that is compatible with the historic masonry.	Applying a waterproof coating that is incompatible with the historic masonry or that is not watertight and could cause damage or deterioration.
Inspecting applied coatings or membranes on a regular basis to ensure performance and periodically reapplying the coating or replacing the covering.	Failing to maintain a waterproof coating or membrane after it has been applied.

# Wet Floodproofing

Wet floodproofing allows water to enter a historic building during a flood event and drain out as the flood waters recede. It is not recommended where flooding is expected to exceed 24 hours in duration. Because this approach allows flood waters to enter the building, which will likely cause damage to historic materials, features, and finishes, it is best to limit this treatment to buildings where the area of inundation is an unfinished space, such as a basement, if the building is not constructed of flood damage-resistant materials.

Wet floodproofing requires water to move in, through, and out of the building at a consistent rate, largely controlled by vents. The total number, size, and locations of the vents is based on the square footage of the building and the anticipated performance of the vents. Water must also be able to move through the interior spaces of the flooded portions of the building, such as through door and other openings. The building may require structural reinforcement and anchoring to the foundation to allow it to withstand the force of the flood waters. All mechanical, electrical, and plumbing systems must be elevated above the established flood risk level or otherwise designed to withstand floodwaters (see Elevate Utilities). Where the floodwater may not drain naturally from the lowest levels of the property, a new drainage system must also be designed and installed to help remove the water from the building.

Interior spaces must be altered to allow for inundation, potential contamination, draining, cleaning, and drying which can require removal and replacement of historic materials. If the basement is finished, materials that will be in contact with the water may need to be replaced with more water-resistant and impervious materials. For example, gypsum wall board will need to be completely removed and replaced with a more flood-damage resistant substitute material such as marine-grade wood or non-paper-backed gypsum board to avoid moisture and mold issues. Because water will wick up through many materials, a horizontal waterstop joint is recommended to limit the amount of materials that will require drying and cleaning. Any wall cavities will need to be opened and accessed after each flood to clean and dry. Additionally, all interior furnishings and personal effects must be moved from the area prior to the flooding event to protect them from damage of the flood waters. After the flood, the cleaning process can involve harsh chemicals, power washing, and additional material removal and replacement. Be aware that drying and cleaning can take extended periods of time, and the building may not be habitable during this process. The drying process can be moderately accelerated by using dehumidifiers and fans, but heated air systems are not recommended.

The primary preservation concern about this adaptation method is the potential loss of historic materials. It is crucial to identify and document the condition of the historic materials, features, and finishes before selecting this treatment. Some traditional materials perform as well as recommended modern flood-damage resistant materials. Many historic buildings have been altered over time and may no longer retain a high degree of historic interior materials or features

(e.g., plaster has been replaced with drywall). In these instances it may be possible to replace those features with flood-damage resistant material without impacting the historic character of the building. Flood-damage resistant substitute materials may be used to replace deteriorated or damaged historic materials and features below the established flood risk level.

This adaptation method is generally not appropriate for a historic building that still retains a high level of historic materials, features, finishes, and spaces at or below the established flood risk level because it could result in their loss.

### **Technical Limitations:**

- This adaptation is not viable for buildings where flooding will likely exceed 24 hours due in part to the potential for damage, contamination, and biological growth possible over longer exposures to floodwater.
- Any building component, which could include foundations, walls, slab, or sanitary systems, must be able to withstand hydrostatic forces.
- The building has to dry out after a flood, so this method is not suitable if there is inadequate foundation ventilation.
- This adaptation requires a lengthy cleaning process and drying time, and, therefore, is best applied when flood waters will be limited to non-living spaces (i.e., basements, crawlspaces, garages, etc.) or for nonresidential properties.

### **Structural Needs**

Recommended	Not Recommended
Evaluating the strength of walls and footings of historic buildings to ensure that they are strong enough to withstand floodwater pressure and flood-borne debris.	Proceeding with wet floodproofing without assessing the structural stability of the historic building.
Anchoring the structure, where necessary, to prevent movement or collapse of the historic building.	Altering visible foundation walls to an extent that the historic character of a building is affected.

# Utilities

Recommended	Not Recommended
Relocating all utilities above the established flood risk level or protecting them in place with a watertight or impermeable enclosure.	Relocating systems and utilities to a historically significant interior space or a highly visible location.
Installing a Ground Fault Circuit Interrupter (GFCI) to protect the electrical system of the historic building and prevent possible fires.	

# Site Drainage and Venting

Recommended	Not Recommended
Following the recommended structural engineering guidance for the number, size, and placement of hydrostatic flood vents, as well as any other ventilation requirements.	Ignoring industry standards for flood venting requirements resulting in the loss of structural stability of the building in a flood event.
Retaining historic foundation vents in highly visible locations where feasible.	Selecting a non-engineered vent system in order to retain historic vents where engineered vents would result in significantly fewer openings in the foundation.
Selecting a compatible design and placement for new vents, or painting vents to blend in with the foundation material.	Installing highly visible vents and placing them without consideration of the rhythm of fenestration.
Installing a pumping system for draining the building in concert with the receding waters outside the property.	Failing to regulate the rate of water draining from the property, potentially causing structural damage to the building or neighboring properties.

# **Interior Alterations**

Recommended	Not Recommended
Retaining historic materials, features, and finishes that are flood-damage resistant. Removing non-historic finishes and furnishings that absorb and trap moisture, such as carpets.	Removing intact, undamaged, or repairable historic materials, features, and finishes in anticipation of a possible flood.
Using substitute materials that are more flood-damage resistant when replacing deteriorated or destroyed historic materials and features that are compatible with the historic character of the building. Replacing character-defining features with a substitute material that matches the design and appearance of the historic component.	Selecting flood-damage resistant replacement materials and features that are potentially destructive or incompatible with the historic building.
Relocating, if necessary, electrical outlets and panels above the established flood risk level in a manner compatible with the historic character of the building by placing them in less visible locations and possibly concealing them with existing features such as a door frame or chair rail.	Relocating electrical outlets or panels above the established flood risk level in a highly visible location that impacts the historic character of the interior spaces.
	Making new openings in walls which damage or destroy historic materials and features or otherwise impact the historic character of the building in order to allow the free movement of water.
	Applying impermeable coatings that cannot be easily removed, or otherwise sealing the building envelope in a way that may cause damage to the building.

The following wet floodproofing treatments are intended for interior spaces that have been significantly altered in the past or irrevocably destroyed or damaged such that the spaces possess a low level of historic integrity. These treatments do not meet the Standards for Rehabilitation if the interior spaces still retain a high level of historic materials, features, or finishes, because it could result in their loss and significantly diminish the building's historic character.

Recommended	Not Recommended
Installing interior flood-damage resistant materials in a manner that limits destruction of the historic materials and features.	Selecting flood-damage resistant materials that are incompatible and potentially destructive to the historic envelope.
Using flood-damage resistant substitute materials that are compatible with the existing historic interior finishes and character.	Installing flood-damage resistant materials without considering their impact on the historic character of the building.
Selecting and installing impervious materials that allow air circulation within the building envelope.	Installing and applying materials and treatments that prevent the proper movement of air and water vapor through the building envelope or interior walls.
Installing a horizontal waterstop joint in the wall that prevents the wicking of moisture during a flooding event in a manner that does not compromise the structural integrity of the wall or causes the loss of intact historic features.	Removing or damaging structural materials and intact historic features to install a water- stopping joint in a wall.

### **Property Clean-Up Post-Flooding**

Recommended	Not Recommended
Using the gentlest means possible for effectively removing surface grime and killing flood-borne bacteria. This can include a low-pressure water wash and appropriate cleaners.	Using abrasive materials or methods to clean the flood-impacted building.

Recommended	Not Recommended
Identifying and assessing the flood-damaged building to determine the impacts to the historic materials and features. Determining which materials and features can be cleaned, dried, and repaired and which materials must be replaced.	Removing flood-impacted materials and features without proper assessment or consideration of their historic value or ability to be cleaned and repaired.
Allowing all the materials that were submerged or in contact with the flood waters to properly dry using dehumidifiers and fans before repairing the building.	Accelerating or force drying the building with heat in order to expedite repair of the damaged building.

# Fill the Basement

One treatment measure to provide flood protection for a historic building that will have minimal impact on its character is to fill in the basement. However, this method can only be used for a basement that is below ground level on all sides and of masonry construction. A walkout basement would not be a candidate for this adaptation treatment. A drawback to this treatment is that, because a basement may serve as an unintended water catchment during a flood event, water displacement issues may be a problem, since the basement would no longer serve that function. Although filling a basement may have a more limited impact upon the historic character of a resource, it will result in the loss of space and/or access to any historically important features in the basement. If the basement contributes to the significance of the property or includes significant historic features, such as a fireplace, dumbwaiter, or an innovative historic heating system, this treatment is not recommended.

Local ordinances may define basements in different ways. In some cases, a basement is considered occupied space that therefore needs to be protected from flooding. In other instances a basement is viewed as only unfinished space that can flood. A property owner will need to learn the specific rules in their community.

Fill material can be compacted gravel, soil, or sand and must reach the same level as the ground surrounding the building. In some cases the fill material will settle further and more material must be added to maintain the necessary fill height equal to the surrounding ground level.

### **Technical Limitations:**

- The treatment can only be used on buildings with basements of masonry construction due to structural considerations.
- Access and clearance to the basement must be sufficient to allow compacting equipment to enter and to be removed after the basement has been filled.

Recommended	Not Recommended
Assessing the strength of basement walls and footings to ensure they are strong enough to support the fill after it is compacted.	Filling in a basement without assessing or evaluating the strength of the basement walls and footings to ensure they are strong enough to support the fill when compacted.

### **Structural Considerations**

Recommended	Not Recommended
Modifying and anchoring basement walls and footings, when necessary, to provide enough strength to support the fill as long as the modifications do not significantly alter the visible exterior portions of the foundation.	Altering visible exterior foundation walls to an extent that the historic character of a building is negatively impacted.

### Drainage

Recommended	Not Recommended
Removing or breaking up non-porous or concrete basement floor slabs prior to adding fill or creating drainage holes and trenches in the existing floor, while still protecting foundations and footings.	Filling in a basement without addressing potential drainage issues that may arise as a result of the fill.
Installing a pumping system in an accessible location to drain the space if necessary.	Neglecting to install a pumping system if needed to facilitate drainage.

## Systems Relocation (See Protect Utilities)

Recommended	Not Recommended
Relocating all systems and utilities, including	Relocating systems and utilities to a
HVAC, plumbing, and electrical, above the	historically-significant interior space or a
established flood risk level to a secondary	highly-visible location.
interior space with minimal significance and	
visibility, such as an attic or closet.	

### Filling the Basement

Recommended	Not Recommended
Using a fill material, such as gravel, soil, or sand that could be removed in the future.	Using fill material such as concrete that will be difficult to remove in the future.

Recommended	Not Recommended
Compacting the fill so that it protects the basement adequately from water entering the space.	Leaving the fill material loose without compacting it.
Filling a basement to the required fill height which is equal to the surrounding ground level.	Adding insufficient fill material that is not enough to reach the required fill height.
Monitoring and supplementing the fill already in place with additional fill if needed to reach the required fill height.	

## Elevate the Building on a New Foundation

This adaptation method involves raising the height of a building by lifting the building from the existing foundation, constructing a new, higher foundation, and resetting the building on the new foundation. While this is one of the most common solutions for residential buildings at risk from flooding, when the change in height of the new foundation is significantly different from the original height, the historic character and appearance of the building can be considerably impacted. As a result, elevating a building on a new foundation can greatly affect the historic character and integrity of the building, and any associated historic district if not carefully planned and considered.

This adaptation treatment can generally protect a historic building from any type of flooding if the water does not reach the new first-floor level after elevation. The likely characteristics of the flood will dictate the type of foundation treatment. For example, a building that is properly tied to the piers of an open foundation will generally have less damage from fast-moving floodwaters than a building on a closed foundation. In other circumstances, break-away walls may be the only type of solid infill allowable below the established flood risk level. Local zoning and building code requirements may limit how, and to what height, a building may be elevated. Consultation with a local floodplain administrator or other knowledgeable professional will also help identify requirements specific to a location or site. The local floodplain administrator may also be able to provide information about the future viability of community infrastructure impacted by flood events such as roads, sewers, and other utilities and services. Continued access to infrastructure should be considered; there could be a point in the future when an elevated building no longer has services or road access.

In general, this method of adaptation is easiest for frame buildings above crawlspaces, piers, or post foundations. Large masonry buildings, row houses, slab-on-grade construction, and downtown commercial buildings sharing party walls can be more challenging and expensive to elevate and, in some cases, impractical or infeasible. For example, in cases of multiple connected properties, like a block of row houses, then close coordination and agreement among property owners, as well as shared financing and liability, would be necessary.

Buildings can generally be elevated at least a nominal amount without a major impact on the property's historic character. How high will depend on the historic character and appearance of the specific property. Thoughtful design will take into account both the flood risk and the existing historic design. Setting a universal standard or measurement for how high any given building can be elevated is not possible.

The size, scale, height, and massing of a building will affect how much change in height may be acceptable without impacting the historic character of the property. Generally, there is less perceived impact on the character of a historic building when the proportional and massing

relationships of the foundation to the body of the building and the overall vertical or horizontal emphasis of the building are maintained. In order to maintain the overall historic character and appearance of the building, it is important to consider the following aspects of the site, setting, and design of the property:

- topography and landscaping
- the shape and size of the lot
- placement of the building on the site, such as set back
- building footprint in relation to the shape and size of the lot
- massing/form noting the existing overall width to height ratio
- building height and number of floors
- horizontal or vertical orientation
- property type
- construction type
- relative visibility of the foundation or basement
- mass of foundation in comparison to the main mass of the building

A smaller-scale building may be difficult to elevate more than a few feet without having an impact on its historic character. With some exceptions, elevating a small building to a height approaching a full story will not meet the *Standards for Rehabilitation*.

The historic setting, features, spaces, and materials of a building should be preserved if they are important in conveying the historic associations, character, and significance of the property. As the height of a building increases, meeting the Standards will be more challenging because the character and appearance of foundations, basements, porches or terraces, and staircase height and length, as well as other exterior features and materials, will substantially change. For buildings within historic districts, elevations should be coordinated to maintain the historic spatial and architectural relationships among buildings and the character of the district. Local preservation guidelines can help provide standardized design and treatment approaches for elevating buildings specific to the district.

Where there is a tradition of elevating buildings as a means of adapting them to flooding events, there may be more flexibility to increase the height of a foundation. In this historic context, a more significant degree of change may be acceptable while still maintaining the historic character of the property. These traditional adaptive approaches may be specific to certain regions and to specific building or construction types in those areas. It is also important to follow the material and foundation treatments of the regional tradition.

### **Technical Limitations:**

- The historic building must be structurally stable and/or repaired or temporarily reinforced in order to be raised onto a new foundation.
- There must be a structural system that can support the building on temporary cribbing while a new foundation is constructed. For example, buildings in which a structural slab also functions as the floor or subfloor do not have a platform that would support the walls when lifted.
- The building must be physically separated from neighboring buildings, although attached buildings that are essentially one structure can be elevated together.
- Constrained sites may limit how high a building can be elevated due to limited space available to construct or extend stairs to provide access.
- Foundation type (open vs. closed) may be prescribed by the local ordinance.

#### **Planning and Preparation**

Recommended	Not Recommended
Identifying, retaining, and preserving materials and features of the building that are important in defining its overall historic character before elevating the building.	
Assessing the impact of elevating a building on its historic character, including the aspects of the site, setting, and design of the property (see the bulleted list above).	
	Elevating a building that was specifically designed to connect to or interact with the landscape without also planning how to retain this spatial relationship, such as buildings with interior spaces that open onto a terrace or outdoor courtyard.

Recommended	Not Recommended
Documenting the building in photographs and/or drawings, particularly any features that may be lost or altered, prior to beginning work.	
Elevating later additions and porches that also contribute to the historic significance of the building along with the main structure.	Demolishing later additions and porches without regard to their historic significance.
Repairing any structural deficiencies, such as rotten sill plates and termite damage, before beginning work to separate the building from the existing foundation.	Lifting a building from its foundation without first conducting a thorough inspection and repairing any identified structural issues.
Protecting fragile features and materials subject to damage from minor movements or vibrations of the structure, like decorative plaster.	

# Height of the Elevation

Recommended	Not Recommended
Identifying and retaining the historic massing, scale, size, form, and proportional relationships of the major elements of the historic building and/or the historic district.	Elevating a building without considering the impact to the massing, size, scale, form, and proportional relationships of the historic building and/or the historic district.
Designing a new foundation that preserves the historic character of the building.	Designing a new foundation that is too tall, so that its size and scale are out of proportion to the historic building and, thus, diminish its character.

Recommended	Not Recommended
Using existing attributes and features such as large lot size, tall building height, visible foundation, porches or terraces, and stairs/steps to minimize the impact of alterations to the historic character of the property. For example, an existing porch can be altered to create a wider skirting board to mask part of the change in height.	Altering the building's important character- defining features to mask the change in height, such as elongating first-floor windows.
	Adding conjectural features from other buildings to mask a change in height, such as adding a new porch where none existed historically.
Applying regional or local traditions that have developed to adapt certain building types to flooding risks.	Applying regional or local traditions to property or construction types that are not connected to that location.
Elevating a building already on a visible historic foundation, such as a raised basement or crawlspace.	Elevating a building on grade or with no visible foundation more than a few feet without concealing or masking the change in height of the foundation using site alterations or other design techniques.
	Elevating a small-scale or one-story building to a height approaching a full additional story.

## **New Foundation**

Recommended	Not Recommended
Constructing a new foundation that is compatible with the historic character of the building.	Constructing a new foundation that alters the overall proportions, massing, or scale of the building without making site alterations, such as regrading or adding elevated planting beds at the foundation, to minimize the appearance of the increased height.

Recommended	Not Recommended
Salvaging and reusing historic materials and features, like stone, brick, decorative vents, etc., from the historic foundation to construct the new foundation, particularly where visible.	Demolishing a historic foundation without saving salvageable materials for reuse.
Matching the new foundation to the visual characteristics of the historic foundation.	Designing a new foundation with a different architectural expression or appearance than the historic foundation.
Maintaining the visual appearance of piers or posts if a historically-open foundation must be closed, such as using infill material that is recessed between piers and darker in color.	
	Selecting an open foundation for a building that historically had a closed crawlspace or basement without using design techniques to mask the change.
Using creative design techniques to minimize the perception of the change in height and appearance of the foundation of the historic building where compatible.	Designing new foundation treatments that mask the change in elevation to a point that alters the historic proportions of the building and changes its historic character.
Creating an illusion of solidity in tall open foundations by installing louvers or traditional lattice between piers or posts.	
Creating an illusion of a shorter foundation in wood-clad buildings by lowering the transition point from visible foundation materials to siding or weatherboard.	
Installing flood vents in solid foundation walls. Reusing historic foundation vents in highly visible locations where feasible. Selecting a compatible design and placement for new vents, or painting vents to blend with the foundation material.	Installing flood vents in a haphazard pattern or in locations that compete with the architectural rhythm or historic character of the building.

Recommended	Not Recommended
Retaining a substantial visual connection of the building to the ground when using an open foundation type.	Failing to retain a substantial visual connection of the building to the ground when constructing a new, higher foundation.
Using piers, posts, or columns large enough in width or circumference to visually support the structure, with the number and placement of piers, posts, or columns similar to that of traditional building practices, even if the new technology structurally requires fewer supports.	Selecting piers, posts, or columns that are visually undersized. Recessing all foundation materials; failing to extend historic columns, piers, or pilasters to the ground; or selecting a color scheme that creates an effect of a floating or unsupported building.
Relocating all utilities above the established flood risk level or protecting them in place with a watertight or impermeable enclosure. (See Protect Utilities)	Relocating systems and utilities to a historically significant interior space or a highly visible location.
Concealing, insulating, and protecting utility connections and any ducts or pipes located underneath the building in an open foundation.	

### Access

Recommended	Not Recommended
Retaining the historic access locations and approach/orientation to the building and its front or main entrance, where feasible. Keeping the physical features that identify the historic access points.	Abandoning historic primary entry points or significantly altering the path to a front or main entrance, when it can be avoided.
Matching new stairs, railings, or ramps with the style and features of the historic design; and salvaging and reusing historic features to the extent possible.	

Recommended	Not Recommended
Constructing railings with traditional proportions, or, if a taller rail is necessary to meet code, retaining a horizontal rail at the traditional railing height.	Noticeably altering the design and proportions of a historic railing, so that it changes the historic character of the feature.
Breaking up the run of stairs with a landing or changing the design or materials, where appropriate, when a long run of stairs is required because of the change in elevation.	Installing a long run of stairs that changes the historic character of the building and its site and setting if it can be avoided.
Altering the material of the stairs to fit or blend in more with the immediate surroundings to minimize the perceived change in height. Where terraces, raised planters, or regrading is used, consider using stone, brick, or another material that fits in with the landscape for the lower section of stairs.	
Providing access via an exterior elevator, lift, or ramp located and designed to be compatible with the historic character of the property. Floodproofing or locating the operating system of the elevator or lift above the established flood risk level.	
Minimizing the impact of ramps by installing them on secondary elevations when it does not compromise accessibility or by screening them with plantings on more visible locations.	Installing elevators, lifts, or incompatible ramps at a primary entrance, or relocating primary entrances to secondary locations to provide access without investigating other options or locations.

Recommended	Not Recommended
Altering the landscape by adding fill or constructing raised planters to reduce the amount of new foundation that is visible.	Altering a landscape, garden, or archeological site that has historic significance in its own right or that is integral to the significance of the site in conjunction with the building.
Designing new driveways, parking areas, or patios so that they are as unobtrusive as possible and are compatible with the historic character of the property and the district. Using permeable surfaces where possible.	Adding new site features in prominent locations where they negatively impact the historic character of the building site or result in the loss of historic landscape features or plant materials. Adding new driveways and curb cuts to facilitate parking underneath an elevated house.

## Associated Site Alterations (see also Site and Landscape Adaptations)

### **In Historic Districts**

Recommended	Not Recommended
Elevating buildings in historic districts that are similar in style and size to consistent heights if that is the character of the district while maintaining the historic spatial and architectural relationships between the buildings.	Elevating buildings in historic districts that are similar in style and size to different heights unless that is the historic character of the district.
Elevating buildings in districts with a tradition or history of elevating buildings.	

## **Elevate the Interior Structure**

This treatment involves removing the existing first- or ground-floor level and replacing it with a new floor plate at a level above the established flood risk level while the exterior structure remains virtually unchanged. This treatment is most suitable for buildings with large-volume first-floor spaces, such as Main Street commercial buildings. For historic buildings that are more challenging to elevate, such as attached row houses, raising the lowest interior floor out of the established flood risk level may be a good alternative to elevating the entire structure. The existing first floor must have a ceiling height tall enough to accommodate the change, preferably without needing to alter ceilings or upper floors. All systems that lie below the new first-floor elevation, such as electrical and plumbing, will also need to be relocated to reduce the potential for loss and damage due to flooding. In order to limit flood damage, existing basements, crawlspaces, and newly-created spaces beneath the new floor level will need to be filled to a level even with grade (see Fill the Basement), or have automatic flood vents installed to allow water to flow through the non-inhabited area (see Wet Floodproofing).

The new floor height should generally be limited to a level below the sills of first-floor windows or storefronts. Alternatively, the new floor should be held back from exterior walls to reduce visibility of the alteration from the exterior. This treatment may require changes to ground-level access points of the building. If the change in floor height is fairly minimal, subtle exterior alterations may solve access issues, but, more commonly, the new floor height is accessed within the building by constructing an interior stair or ramp.

This treatment can have a significant impact on historic buildings with intact, character-defining first-floor spaces. Generally, the first floor contains many of the building's character-defining spaces, features, and materials. Depending on the historic integrity of the building before the adaptation begins, such changes can result in the loss of historic character.

Properties with a historically significant first-floor interior stair will require careful alterations to be able to retain the functionality and appearance of the stair. Every effort should always be made to retain it, if possible, or relocate existing decorative features and materials that would otherwise be impacted (i.e., wainscot, baseboards, etc.). Buildings with significantly altered interiors may generally be more adaptable to this type of treatment.

- Existing floor-to-ceiling height of the first floor must be tall enough to accommodate the change in floor level without impacting the ceiling or structure above.
- This treatment should be combined with either wet or dry flood-proofing.

#### **Planning and Preparation**

Recommended	Not Recommended
Identifying, retaining, and preserving materials and features of the building that are important in defining its overall historic character before elevating the interior structure of the building.	Elevating the interior structure that results in the destruction of the historic character of the building.
Documenting the building in photographs and/or drawings, particularly any features that may be lost or altered, prior to beginning work.	

#### **Structural Considerations**

Several structural issues associated with this treatment must be evaluated. These include assessing the walls, columns, and footings and potentially anchoring the building differently, depending on the existing connections. The building structure must be able to support a filled basement, moving water beneath it, or keeping water out of the building. Refer to Fill the Basement, Wet Floodproofing or Dry Floodproofing, as applicable.

#### **Exterior Impacts**

Recommended	Not Recommended
Maintaining original entrances and fenestration patterns on the exterior of the building. Access to the new floor level from the original entrance level should generally be made on the interior of the building.	Altering the appearance of historic access points by lengthening or shortening original entries.
Preserving the historic character of the building when creating access to useable space underneath the new floor level. For example, adding a new exterior service entrance on the back of a building or other less visible location.	Putting in new storage or garage doors that alter the rhythm of the fenestration pattern, features, and appearance of the historic building.
Maintaining storefront glass and bulkhead heights at their original locations.	Altering the appearance of the storefront by obscuring the original storefront windows, or adding or extending the height of the bulkhead areas, when elevating the interior floors.

Recommended	Not Recommended
Retaining original windows on primary or highly visible facades. Protecting windows that extend below the established flood risk level with temporary waterproof coverings.	Removing or blocking historic windows on primary or highly visible facades with a new floor structure that abuts the windows.
Installing a new floor at a level below the sills of first-floor windows or storefronts, or holding back the new floor from exterior openings sufficient to minimize the visibility of the alteration.	Locating a new floor structure at a level above existing window sills or door thresholds, allowing it to be visible from the exterior or otherwise altering the building's historic character.

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## **Interior Considerations**

Recommended	Not Recommended
Preserving character-defining spaces, features, and finishes when elevating the interior structure.	Elevating the interior structure, resulting in the destruction of the character-defining spaces, features, and finishes.
Maintaining the historic character of entrances, while floodproofing the non-elevated access spaces.	Installing incompatible features and finishes to floodproof the non-elevated access spaces.
Adding interior ramps or stairs that are compatible with the historic character of the entrance while maintaining historic features such as lobby spaces or commercial storefront spaces.	Placing ramps or stairs in a location that disrupts the character and appearance of historic interior spaces, and damages or removes historic interior materials and finishes.
Retaining historic materials and features such as original trim and reinstalling it at the new floor level.	Destroying historic features above the new elevated floor level.
	Destroying character-defining ceiling features and finishes if the new floor requires the ceiling to be elevated to maintain a useable floor height.
	Elevating the first-floor structure to a height that causes a 'domino effect' requiring changes to ceilings and floors above.

## Abandon the First Story

This adaptation method requires modifying a multi-story building to relocate all living spaces to upper floors above the established flood risk level. The abandoned first story must be altered and adapted into a utilitarian wet or dry floodproofed space. A local floodplain ordinance may determine which floodproofing method is allowable. This option is best suited for multi-story masonry buildings. Historic buildings with unfinished interior spaces that are constructed of durable materials, for example mills or industrial buildings with load-bearing masonry walls, are also likely candidates. This treatment is not recommended for light-frame construction. Due to concerns about life-safety and potential repetitive damage, this adaptation requires the sacrifice of occupiable space within the building. After the project is complete, the abandoned first story may only be used for parking, storage, and building access.

The abandoned story can be dry or wet floodproofed (see Dry Floodproofing and Wet Floodproofing). Dry floodproofing may impact the exterior due to the treatments necessary to resist water infiltration. For dry floodproofing, the first story must have a sump pump installed to remove water that may penetrate the space. Masonry walls may still require air venting that seals in the event of a flood.

Wet floodproofing is not recommended as a treatment for buildings with a significant amount of historic integrity at the first story, as it can result in the loss of historic features and materials. In wet floodproofing, the abandoned first-story interior should have flood-damage resistant materials. Where the existing historic materials are not flood-damage resistant, they should be replaced only after they have been damaged. Flood-damage resistant substitute materials should be compatible with the historic character of the building. For wet floodproofed areas, flood vents must be added to exterior and interior walls to allow flood waters to freely flow throughout the abandoned space. In addition, all mechanical systems and utilities must be elevated or dry floodproofed in place.

Abandoning the first story will also shift the location of the conditioned space within the building envelope. Such a shift may have unintended consequences for historic materials by changing the number of freeze-thaw cycles or the location of the dew point within a wall. It is important to consult a professional who can help model or predict such changes and how they might be addressed.

This treatment will result in the loss of usable floor area in the building, and owners may therefore decide to make up for the lost floor area by adding to the building or making other alterations. New rooftop and other additions to historic buildings should follow the guidance in the *Guidelines for Rehabilitating Historic Buildings*.

### **Technical Limitations:**

- The building must have at least two stories.
- This method cannot be used for light-frame buildings, as all walls in the flood zone must be made resistant to water damage.
- Additional technical limitations will depend on which treatment (dry or wet floodproofing) is selected for the abandoned first story. Please refer to those treatments.

#### **Planning and Preparation**

Recommended	Not recommended
Evaluating the strength of walls, columns, and footings to ensure they are strong enough to withstand flooding and support the retrofit of abandoning the first story of the building.	Abandoning the first story without proper reinforcement of the lower levels to withstand flood forces.
Documenting the interior materials, features, finishes, and spaces on the first story prior to abandoning it.	

#### **Structural Considerations**

Several structural issues associated with this treatment must be evaluated. These include assessing the walls, columns, and footings and potentially anchoring the building differently, depending on the existing connections. The building structure must be able to support a filled basement, moving water beneath it, or keeping water out of the building. Refer to Fill the Basement, Wet Floodproofing or Dry Floodproofing, as applicable.

#### **Exterior and Interior Considerations**

Recommended	Not Recommended
	Selecting wet floodproofing for the
	abandoned story if the interior spaces still
	retain a high level of historic materials,
	features, or finishes.

Recommended	Not Recommended
Retaining historic materials, features, and finishes that are flood-damage resistant.	Removing intact, undamaged, or repairable historic materials, features, and finishes in
Removing non-historic finishes and furnishings that absorb and trap moisture, such as carpets.	anticipation of a possible flood.
Maintaining and using existing access points (entrances, stairs, and elevator shafts) to gain access to upper floors.	Relocating interior access points (stairs and elevator shafts) so that the original circulation patterns and historic relationships between interior features and spaces are altered.
Adding interior stairs, elevators, or lifts within the first-story space away from windows or storefronts at the original first floor.	Inserting new interior stairs, elevators, or lifts that cut across the glazed areas of windows so that they are highly visible from the exterior.
Designing secondary egress from the new first story so that it is compatible with the historic character of the building and does not destroy historic materials.	Installing a means of secondary egress from the new first story without considering its impact on the historic character and appearance of the building.
Creating compatible new openings or altering existing openings, if necessary for new parking or storage areas in the abandoned story, on secondary elevations.	Creating new openings or altering existing openings for parking or storage uses on the primary facade(s).

## Move the Historic Building

Moving a historic building requires separating the building from its foundation and relocating it to a new site and foundation. Relocating a historic building is generally not a recommended preservation practice. In certain communities however, there is a tradition of moving buildings. In some instances, whole neighborhoods were relocated together. Moving a historic building is usually considered only when the property is expected to flood repeatedly, succumb to river or shoreline erosion, or is subject to permanent inundation due to sea level rise or subsidence. Moving a structure is more challenging, both technically and financially, when it is masonry construction, and it is not feasible for buildings with shared walls, like row houses, unless they are moved together.

The building must be strong enough to withstand the travel required in the relocation. Historic buildings that are in poor condition, or have structural deficiency or damage, may require additional reinforcement prior to a move. It is always preferable that a historic building be moved in one piece. In some cases, porches or small additions may need to be removed, relocated separately, and reassembled and reattached to the building after relocation. The various construction periods, additions, and ancillary structures of a property, if important to its historic character, should be considered in determining what needs to be moved to the new location. Prior to the move, photographs of the building from all elevations should be taken, and interior finishes should be temporarily protected during the move (see *Tech Note 2: Temporary Protection*). Drawings may be required if any sections of the building will need to be reassembled. (See HCRS Publication No. 9 *Moving Historic Buildings*).

The primary goal in selecting a new site should be a location that eliminates or reduces the flood risk. The new site should provide as similar a setting as possible to the original. In siting the historic building, consideration should be given to such factors as the original directional orientation of the building and if it had a strong visual relationship to a landscape or other feature, such as a road. The new foundation should match the original in height, design, and materials.

Moving a building to a new site requires a significant amount of preparation. Depending on the distance and the route to the new location, coordination with local highway departments, police departments, local permitting agencies, and utility companies may be required. If the building passes through more than one locality, each government entity may charge for permits, police assistance, etc.

State and Tribal Historic Preservation Offices (SHPO/THPO) play a vital role in determining whether the building's historic designation can be retained in a new location, as per Federal regulations (36 CFR Part 60). Some properties may be delisted from the National Register of Historic Places if moved without prior review. Building owners should work with the SHPO or

THPO prior to moving. Relocations that include federal buildings, assistance, or permitting will involve the SHPO or THPO as part of the Section 106 review process prior to the move (see 36 CFR Part 60 and 36 CFR Part 800).

### **Technical Considerations:**

- The building must be structurally stable to move safely, or it can be successfully disassembled and reassembled on the new site.
- Masonry buildings can be more difficult to move.
- The new site must be located outside of the established flood risk area but similar in character to the original setting.
- Routes between the historic location and the new proposed site must be suitable for transporting a building.

### **Planning and Preparation**

Recommended	Not Recommended
Finding an available site with as similar a setting as possible to the original site of the building that also eliminates or reduces the flood risk.	Relocating a building to a site that is noticeably different from the original setting of the building if it can be avoided. Selecting a site that does not reduce the flood risk.
Documenting the historic building with photographs, a site plan with the four directional cardinal points noted, and the relationships to outbuildings and other site and landscape features noted.	Moving a historic building without documenting the existing conditions at the original site.
Hiring a professional building mover to undertake the move and ensuring that the move is adequately covered by cargo insurance for all phases of the relocation project. Special permits may be required from state or local governments and utility companies.	Moving a historic building without first obtaining cargo insurance.

Recommended	Not Recommended
Moving a historic building in one piece, without disassembling portions or sections of it, whenever possible.	Moving a historic building in multiple pieces or sections if it can be avoided.
Ensuring that disassembled sections or units of a historic building are clearly marked with each unit's orientation, i.e., front and back, individually numbered, and its location on the building marked on a plan and elevation drawings. Providing a secure location for storage of all disassembled components.	Disassembling a building without marking individual units or sections, or separating a section from the building without consideration of how it is constructed, such as cutting a gable in half but not along a stud.
Conducting archeological investigations at the new site to ensure there are no negative impacts in relocating the building. Protecting and /or preserving any known sites at the original location before the move.	Losing or unintentionally damaging archeological data that may exist on the site during the move.

## **Moving Considerations**

Recommended	Not Recommended
Providing protection by bracing or covering fragile features and materials such as chimneys, stucco, interior plasterwork, windows, and decorative trim prior to the move.	
Retaining later features and additions to a building that contribute to the historic character when moving a structure.	Removing later additions for the move that may have acquired significance.
Moving outbuildings important to the historic character of the property to the new site.	Moving only the main building when there are outbuildings and other features that are important in defining the historic significance of the property and should also be relocated.
Ensuring the moved building will have no negative effects on neighboring properties in the new location and will not diminish their integrity of setting.	

### Relocation

Recommended	Not Recommended
Constructing a foundation that is structurally adequate to support the historic building, and obtaining the necessary permits prior to relocating the building.	Constructing a new foundation that is structurally inadequate to provide the necessary resilience recommended by building codes and flood guidance for future natural risks.
	Altering the building to provide additional living or storage space under the building without masking the additional foundation height.
Reestablishing the original placement of the historic building in the new location as closely as possible.	Placing the historic building in the new location without consideration of the orientation, setting, or environment of the original historic site, thus diminishing its
Observing and reestablishing the orientation, setting, and general environment of the original historic site.	historic character.
Making appropriate repairs to sill plates and floor joists while the building is on temporary cribbing and these features are accessible.	
Allowing adequate time for the historic building to settle on the new foundation before repairing finishes or chimney features.	
Placing historic outbuildings at the new site in the proper location and distance from the main building based on documentation.	Placing outbuildings and other important features on the new site without regard to their original use, locational relationship, or distance from the main historic building.

## **Unconventional Treatments**

There are a number of potential treatments in the developmental or experimental phase for adapting historic properties for flood risk. Many are in the prototype phase and are being tested for their performance. Some of these adaptations include:

- Hydraulic lift and anchoring systems to allow a building to remain in place and be lifted before and during a flooding event.
- Buoyant foundations with guideposts, also known as "amphibious architecture," to allow a building to float. Buildings are retrofitted with buoyancy blocks, vertical guideposts, and a structural sub-frame.
- Mechanized sea walls that can be constructed as large-scale protection.
- Bringing water back into a community rather than keeping it out, sometime referred to as "living with water." This may include widening previously controlled river channels, reestablishing canals, and designing other large-scale water retention areas.

As these technologies become more widely used, the impacts to historic properties will need to be evaluated.

## Demolition

In this section demolition refers to the complete removal of a historic building and any related structures in order to clear a historic site within an established flood risk level of any occupied structures. It is important to understand that demolition is not a treatment that meets the *Standards for Rehabilitation*.

This action may be incentivized where buyout zones have been identified as part of the community hazard mitigation plan. In these and possibly other situations, a government agency may purchase a property and demolish the structure after the sale to eliminate continued property risk and loss from the floodplain area and allow for open space. In other cases, private property owners may choose to demolish an existing historic building in order to eliminate their flood risk, allowing them to rebuild in a more flood-resilient method or relocate.

The Standards were created to support the preservation of historic buildings. Demolition is never a recommended treatment. However, in making land-use and planning decisions for a community or neighborhood, there may be situations when it is necessary to identify sacrificial historic sites or structures. Demolition could be chosen to remove buildings most at risk, in order to provide space needed to undertake adaptive measures to protect other, more important historic buildings, or to allow for new structures designed to withstand water damage in future flood events. Such a decision should be made only after extensive research of the historic property or district has been completed, in order to fully understand the significance of the building(s) that would be lost.

#### **Preservations Considerations**

- Evaluating and considering all feasible alternatives before deciding to demolish any historic structure, such as adapting the property to flood risks, donating or selling the building, or moving it out of the established flood risk area.
- Documenting the building in photographs and/or drawings or 3-D scans prior to demolition.
- Ensuring that archeological resources are identified and protected prior to allowing heavy equipment into the area.
- Protecting neighboring properties from damage during demolition.
- Salvaging historic materials prior to demolition for reuse.

An illustrated version of these Guidelines is expected to be issued in Fall 2020.



# Gutters & Downspouts

# 1. Topic: Gutters and Downspouts

## 2. **Definitions:**

For the purpose of these guidelines, gutters and downspouts refer to systems that are built into or attached to a structure (or auxiliary structure garage, canopy, etc.) to facilitate the orderly conveyance of rainwater or melting snow from the roof.

# 3. **Policy**

The Secretary of the Interior's Standards for Rehabilitation and Guidelines for rehabilitation state that:

"Deteriorated historic features shall be repaired rather than replaced. Where the severity of deterioration requires replacement of a distinctive feature, the new feature shall match the old in design, color, texture, and other visual qualities and, where possible, materials."

The Secretary of the Interior's Standards for Rehabilitation and Guidelines for Rehabilitating historic Buildings recommend against:

"Removing an architectural metal that is un-repairable and not replacing it; or replacing it with a new architectural metal feature that does not convey the same visual appearance."

# 4. Guidelines

The following guidelines concerning gutters and downspouts are provided to assist in the interpretation and application of the Secretary of the Interior's Standards and Guidelines.

## 1. General Considerations

The place and style of gutters on historic homes and other buildings varies greatly. Some buildings were clearly built with gutters and downspouts. These systems may be needed to carry water away from foundations and avoid infiltration of water into basements. On the other hand, gutters can create hazards in the winter as they build up with ice and carry tremendous weight. For adequate functioning in both summer and winter, gutters and downspouts must be designed in accordance with accepted standards for size, placement, and method of attachment.

Some structures were designed to carry water off the roof edge where it would drip to the ground, and no gutter/downspout system was used. In other structures, gutters were built in. In some, they were mounted at the roof edge. Some types of gutter styles, available at the time of original construction, may not be common today.

Repairing or replacing original style gutters can be an expensive proposition, whether they are the built-in or add-on type. When gutters are built in, the normal appropriate repair is to rebuild the original built-in style, which will involve custom work. When gutters are the add-on type, they were usually not integral to the architectural style of the structure and may be easier to repair or replace.

The first consideration with add-on style gutters is to decide whether they are necessary at all. In many cases, simply re-grading and removing excess vegetation around the house in order to direct run-off away from the foundation will make gutters and downspouts unnecessary. Therefore, Re-grading should always be considered. Not every house was intended to, or should, have gutters and downspouts.

## 2. Size/Water Volume

Proper gutter and downspout systems are designed to accommodate the volume of water collected on the roof during a heavy rain. The volume of water to be drained away dictates the size of gutters and downspouts. Using a system which is too small will be a poor investment, since it will not function properly and may be easily damaged in heavy rains and in winter ice conditions. There are standards for the appropriate size of gutter needed in relation to the size of the roof that can be obtained from contractors and architects. In certain cases, the detailing on the eaves

of the house may also dictate a larger size gutter than what is actually needed for the water volume in order to be compatible with the size and placement of other details at and near the roof edge.

## 3. Shape, Style and Properties

Built-in, "K Style" and "Half Round" gutters all have a historical presence on homes in historic districts depending on the architectural design of the roof/eaves of the house. The appropriate application of any gutter system is directly related to the overall roof design to ensure the practical long-term success and economy of the roof drainage system.

"K Style" Gutters Designed Originally for Roofs with Flat Vertical Edges

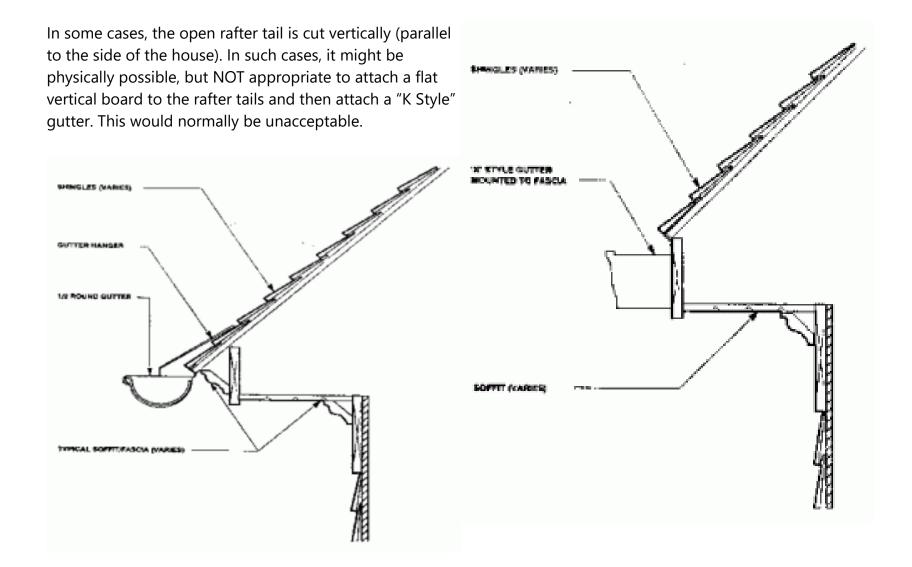
These roofs are commonly seen on Colonial Revival and other structures popular from the 1910's through the 1930's. The "K Style" gutter is shaped to be mounted against the flat fascia board, and to mimic classical crown moldings. In effect, it replaces the detailing common on tapered roof edges discussed under (2) below. The plain flat back of the "K Style" makes it appropriate only when placed against the flat fascia board original to many "revival" style houses. It is not appropriate in situations where it needs to hang free beneath the roof edge.

Original crown moldings or other detailing should not be removed in order to fit a "K Style" gutter against a flat vertical board. Installations rely on a vertical fascia board on the eave to support the base of the gutter. This will allow the gutter to be pitched along its length for drainage. The drawing illustrates a "K Style" gutter installation appropriate to a house with vertical fascia boards on the eaves.

4. Eaves Without Gutters or With Half-Round Gutters

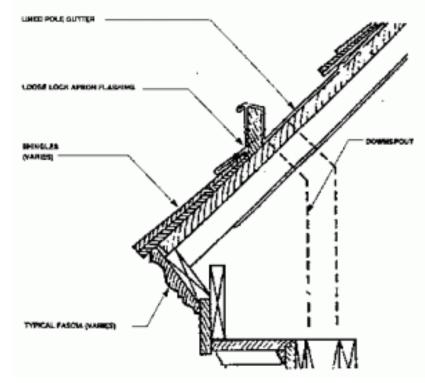
Two types of eaves which may never have had gutters are common in the historic districts of Grand Rapids: tapered eaves and eaves with open rafter tails. They are designed to allow water to drip off the edge without flowing backward and down the face of the building.

In cases where a gutter is used, the half-round design is normally appropriate because it is intended to hang free of the trim details and rafter ends.

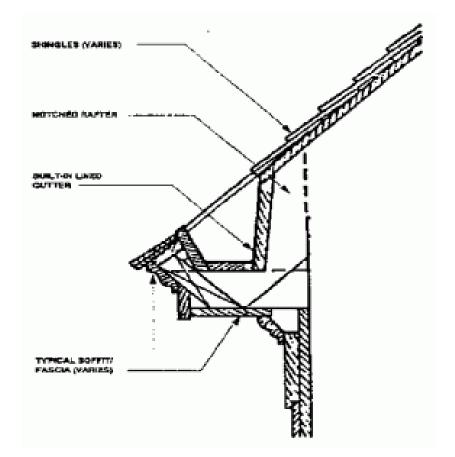


#### 5. Built-in Gutters

Two types of built-in gutters, which are common in the historic districts, are illustrated. One type is visible from the ground because it is built on top of the roof surface. The other type is largely out of sight because it is built within the eave structure below the level of the roof. These allow all of the detailing of the roof edge to be seen.



Built-in gutters that are integral to a historic property are an important characteristic of the property and should be preserved.



#### 6. Downspouts

Rectangular downspouts typically accompany "K Style" gutters. Round downspouts are typical to Half-round and built-in gutter systems.

### 5. Materials

Built-in gutters are usually constructed of wood, covered in metal. Other gutters should be made of metal (usually galvanized steel, copper or aluminum) and should be of adequate strength for the installation intended. Seams should be minimized. Plastic or vinyl materials are generally not appropriate.

# 6. Economic Hardship

Claims for exemption from this policy because of economic hardship must be based on the cost of the work in relation to the market value of the property after rehabilitation. The fact that an appropriate solution is significantly more expensive than an inappropriate one does not, in itself, indicate an economic hardship.

The Commission generally does not require specific materials to be used, such as copper, as it often was on historic properties due to its durability. Unfortunately, copper is now more expensive than available alternatives. Galvanized steel and aluminum gutters may be strong enough to handle the water volume in many cases. Vinyl will usually not be strong enough to handle water and ice loads on historic houses.

As noted earlier, costs can sometimes be saved by dispensing with gutters altogether.

In some cases, the Commission may approve a solution that is structurally adequate but otherwise inappropriate for areas of a building that are not very visible if cost is a problem, while requiring the appropriate solution for more visible areas.

It is also noted, however, that visually inappropriate solutions are often structurally inadequate as well, because the gutter cannot be supported in the manner for which it was designed. This is often true of the "K Style" gutter when it is not attached to a vertical fascia board but is hung in the way half round gutters are designed to be mounted. The "K-Style" may need to be of heavier aluminum and/or be supported with more hangers than usual to be strong enough in such an application.

These guidelines were approved on January 11, 2001, by the Michigan State Historic Preservation Office under the provisions of Michigan's Local Historic District Act (1970 PA 169, §5(3); MCL 399.205)

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